A Common Polymorphism in the Upstream Promoter Region of the Hepatocyte Nuclear Factor-4α Gene on Chromosome 20q Is Associated With Type 2 Diabetes and Appears to Contribute to the Evidence for Linkage in an Ashkenazi Jewish Population

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Variants in hepatocyte nuclear factor-4α (HNF4α), a transcription factor that influences the expression of glucose metabolic genes, have been correlated with maturity-onset diabetes of the young, a monogenic form of diabetes. Previously, in a genome scan of Ashkenazi Jewish type 2 diabetic families, we observed linkage to the chromosome 20q region encompassing HNF4α. Here, haplotype-tag single nucleotide polymorphisms (htSNPs) were identified across a 78-kb region around HNF4α and evaluated in an association analysis of Ashkenazi Jewish type 2 diabetic (n = 275) and control (n = 342) subjects. We found that two of nine htSNPs were associated with type 2 diabetes: a 3’ intronic SNP, rs3818247 (29.2% case subjects vs. 20.3% control subjects; P = 0.0028, odds ratio [OR] 1.49) and a 5’ htSNP located ~3.9 kb upstream of P2, rs1884614 (26.9% case subjects vs. 20.3% control subjects; P = 0.0078, OR 1.45). Testing of additional SNPs 5’ of rs1884614 revealed a >10-kb haplotype block that was associated with type 2 diabetes. Conditioning on the pro-bands’ rs1884614 genotype suggested that the chromosomal region identified by the htSNP accounted for the linkage signal on chromosome 20q in families in which the proband carried at least one risk allele. Notably, the associations and the partitioned linkage profiles near P2 were independently observed in a Finnish sample, suggesting the presence of potential regulatory element(s) that may contribute to the risk for type 2 diabetes. Diabetes 53:1134–1140, 2004

Regions defined by linkage to complex diseases typically encompass >10 cM, an area that may harbor hundreds of genes, thereby making the identification of disease-causing loci a tedious process. The study of populations that have undergone genetic isolation, as have the Ashkenazim, is thought to be useful in mapping complex disease genes. Taking into consideration that the larger American and European Caucasian populations originated from the Mediterranean basin as did the Ashkenazim, genetic risk factors identified in the Ashkenazi Jewish population may be important in other Caucasian populations (1,2). In a genome-wide scan of 267 multiplex type 2 diabetic Ashkenazi Jewish families, regions on chromosome 20 that exhibited nominal evidence for linkage (P < 0.05) were identified (3). The strongest linkage signal on chromosome 20q was observed at D20S195; a weaker signal on chromosome 20p was seen at D20S103. Several other type 2 diabetes studies have also identified linkage to chromosome 20q13.1-13.2 in Caucasian (4–7) and Japanese (8) families. Interestingly, the linkage peaks in these studies overlap at a region near the hepatocyte nuclear factor-4α (HNF4α) gene. The gene spans ~29 kb with 12 exons on chromosome 20q13.1-13.2 (9). It encodes for an orphan receptor member of the nuclear receptor superfAMILY 1.

HNF4α variants have been shown to cosegregate in an autosomal-dominant manner in families with an atypical form of type 2 diabetes known as maturity-onset diabetes of the young (MODY)-1. MODY is a clinically and genetically heterogeneous form of nonketotic diabetes that presents before age 25 years, usually in nonobese, asymptomatic, hyperglycemic individuals (10–12). HNF4α’s role in MODY stems from its function as a β-cell transcription factor that influences glucose-induced insulin secretion (13). In contrast to MODY, type 2 diabetes usually occurs between ages 40 and 60 years, with the exception of obesity-related pediatric type 2 diabetes, regardless of family history (14). Both MODY and type 2 diabetic patients have reduced insulin sensitivity as a result of pancreatic islet β-cell dysfunction. In addition, HNF4α has

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been shown to influence lipid transport and metabolism (15,16).

HNF4α is differentially expressed in mammalian liver, kidney, small intestine, colon, stomach, and pancreas from as many as nine different transcripts (17,18). An alternative promoter, P2, lies 45.6 kb upstream of the proximal P1 promoter (18–20). P2-driven transcripts have been described as the predominant splice variant in pancreatic β-cells (18–21). Although HNF4α intragenic and/or proximal P1 promoter single nucleotide polymorphisms (SNPs) have been characterized in previous type 2 diabetes studies (4,22), a thorough examination of the P2 region has not been reported; thus, association mapping was designed to examine the P2 region in this study.

Case-control studies of unrelated individuals have become the methodology of choice to follow up on linkage findings. The working hypothesis is that variants in linkage disequilibrium (LD) with the susceptibility locus will define the genomic region responsible for the original linkage signal. However, the extent of LD in various regions of genomic DNA has been shown to be highly variable (27–29). Recently, we reported (30) the ongoing evaluation of SNPs across a 7.3-Mb region near microsatellite D20S107 in an association study using pooled DNAs from 150 Ashkenazi Jewish type 2 diabetic patients and 150 control subjects. In the absence of a strong positive association between any of these SNPs and type 2 diabetes, we implemented a more direct candidate gene approach involving the HNF4α gene in this study. We determined the patterns of LD and haplotype block structure to identify the number of haplotype-tag SNPs (htSNPs) required to capture the most common haplotypes across a 78-kb region encompassing HNF4α and P2 prepared to case-control analysis. An htSNP in the P2 region was associated with type 2 diabetes and appeared to be responsible for the previously defined linkage peak in families in which the probands carried at least one risk allele. Notably, similar findings were independently observed in a Finnish sample from the concurrent FUSION (Finland-United States Investigation of NIDDM Genetics) study (31; see this issue of Diabetes).

**RESEARCH DESIGN AND METHODS**

This study involved DNA from three independent sample sets: 1) 275 previously described multiplex families of Ashkenazi Jewish descent, with 1 affected individual from each family selected for case-control analysis (32); 2) to establish an SNP map spanning the 78 kb encompassing HNF4α and P2, SNPs were ascertained by searching the public database, Wave analysis, and/or sequencing. In all, 35 SNPs were identified and tested for validation through our efforts described below. To achieve an SNP density of 1 SNP every 5 kb, SNPs were dropped if they occurred <2 kb apart. In all, 19 SNPs, resulting in an average density of 1 SNP every 4.1 kb, were tested for Hardy-Weinberg equilibrium (HWE), assessment of minor allele frequency, and characterization of LD structure across the region in the sample of 68 unrelated Ashkenazi Jewish individuals (sample 3). SNPs with a minor allele frequency of ≤0.09 in sample 3 were eliminated from further study.

From the public database, 12 SNPs (rs736821, rs10480819, rs3212210, rs4411243, rs3228953, rs2277440, rs1858247, rs1884614, rs4225609, rs4810424, rs1885088, and rs761186) were selected and validated in samples of previously described DNA pools (30). Of these, nine SNPs (rs736821, rs10480819, rs3212210, rs1553337, rs1028583, rs3181247, rs1884614, rs4225609, and rs1885088) were further characterized in the 68 independent Ashkenazi Jewish individuals (sample set 3) for this study. An additional five public database SNPs (rs761184, rs1884613, rs2144908, rs425637, and rs2254040) were provided by Silander et al. (32).

To screen for SNPs by Wave analysis (denaturing high-performance liquid chromatography [dHPLC]; transgenic, Osmiogen, NE), 15 primer sets were used to amplify by PCR all 12 exons (1,589 bp), flanking 5′ and 3′ intronic sequences (3,364 bp), the proximal promoter (800 bp), and 415 bp of the P2 promoter of the HNF4α gene in 96 type 2 diabetic Ashkenazi Jewish probands (from sample 1). These PCR fragments were subsequently screened using a modification of the Wave analysis. Because SNP-specific heteroduplex and homoduplex controls were not available, dHPLC peaks were visually scored for differences in Wave patterns. Subsequently, one or two patients specific for each Wave pattern were sequenced by dye-terminator chemistry (Applied Biosystems, Foster City, CA). A total of 14 variants were identified, of which 7 were novel (Table 1). Because many of the SNPs were <1 kb apart, only five (rs18809963, rs2071197, rs706523, rs212195, and lgh109208) were further characterized.

To discover variants by direct sequencing, SNPs within the 45-kb gap between P2 and HNF4α were identified as follows: 21 noncontiguous PCR fragments spanning a region 2.8 kb 5′ of the P2 promoter to 13.5 kb upstream of HNF4α proximal promoter, P1, were sequenced in eight randomly chosen Ashkenazi probands (from sample 1) using the ABI 3100 Avant Genetic Analyzer (Applied Biosystems). PCR fragments (size 0.5–1.0 kb) were spaced at 1-kb intervals and amplified using PCR primers flanking only A/T and repetitive elements. We identified nine novel SNPs, five of which had allele frequencies >0.09 (Y3, Y2, W1, R1, and R2) (online appendix Table 2, available at http://diabetes.diabetesjournals.org).

**Statistical analysis.** Statistical significance for type 2 diabetes SNP association was determined by Fisher’s exact test, and the 0.05% CI was calculated using the approximation of Woolf (InStat version 3; GraphPad Software, San Diego, CA). P values were corrected for multiple tests using the Benjamini-Hochberg method (33). SNP genotype departures from HWE were tested using the χ2 test with 1 degree of freedom.

Haplotypes were inferred using the Bayesian method as implemented in phase v1.0.1 (34). Phase-formatted data were run as a single file (case and control subjects combined) to allow for a more conservative estimation of haplotype frequency than would be obtained by separate case and control sample analyses. The program has the potential to optimize to each file separately, possibly skewing the haplotype frequencies. Several runs of phase were performed using the following parameters: iterations = 10 and 20 K, thinning intervals = 100 and 1,000, and burn-ins = 10 and 20 K. Haplotype block structure was inferred by the greedy algorithm as implemented in haploBlockFinder (35). In this program, the extent of LD was measured in terms of D′, r2, and r2 (36,37). The significance of LD was assessed by the log likelihood ratio statistic under the assumption of HWE. HaploBlockFinder selects sets of SNPs defining 80% of the haplotypes (i.e., htSNPs) within a block based on r2, which represents absolute levels of LD. The parameters for haploBlockFinder were as follows: block definition = minimum LD range; minimum D′ = 0.80; genotype quality filter = 0.50 (ambiguous genotypes at a given locus can affect block partitioning, thus loci with ambiguous-to-total ratio genotypes with a threshold >0.50 are ignored); minor allele frequency (lowerbound) = 0.10; and coverage of htSNPs = 0.80.

**Linkage analysis.** To test the hypothesis that the "A" allele at SNP rs1884614 (or an allele in strong LD with it) is a risk factor for type 2 diabetes, we partitioned a sample of multiplex type 2 diabetic families that had previously been genotyped for up to 40 chromosomal 20 microsatellites into subgroups according to the probands’ genotype at rs1884614. The average heterozygosity of these 40 markers was 0.75. To protect against inadvertently including families in which the affected relative(s) was (were) an obligate carrier of the disease allele, we partitioned pedigrees of all affected pedigree members be ≥55 years. In all, 199 multiplex nuclear families met this inclusion criterion. Of these, 4 were maternal half-sibling families, 152 contained a pair of affected full siblings, 37 contained three affected siblings, and 6 contained an affected sibling quartet. A small number of additional non-first-degreet genotype relatives (two affected half-siblings and three affected first cousins) as well as unaffected related relatives (U) were included in the linkage analysis. All linkage analyses were performed with Genenhunter Plus using the "pairs" option under the exponential model (38,39).
of 10,000 randomizations were used to obtain empirical allele frequencies and performed a Genehunter Plus analysis, as above. A total of 86 curves revealed that the HNF4 as vertical lines with rounded tail) and identify evidence for association with type 2 diabetes (SNPs shown as vertical lines with square tail; )

Subsamples of families (n = 1136 DIABETES, VOL. 53, APRIL 2004

RESULTS

Genomic DNAs from 68 unrelated Ashkenazi Jewish individuals were genotyped at an average of one SNP every 4.1 kb across an ~78-kb region harboring HNF4α and its alternative upstream promoter, P2, using the 19 variants identified by extensive SNP discovery (see RESEARCH DESIGN AND METHODS for details). Of the 19 variants, 4 were subsequently eliminated because 2 did not conform to HWE and 2 occurred on <0.09 of the Ashkenazi chromosomes.

As shown in Fig. 1, the remaining 15 informative SNPs were used to determine the pattern of LD in this region. Phase software was used to estimate haplotypes, which were distributed into blocks and "tagged" according to user-defined parameters in HaploBlockFinder. The LD plot, shown in Fig. 2, illustrates seven haplotype blocks spanning the 78-kb region that were identified in the Ashkenazim. These seven blocks included three "singleton" blocks. A singleton refers to a single SNP that is not tagged in the 5' boundary of the associated haplotype block "tagged" by rs1884614 and additional SNPs (originally tested for association by FUSION) genotyped in Ashkenazi Jewish case and control subjects to replicate the associations identified by the FUSION study (31).

Data are % (successfully genotyped chromosomes), unless otherwise noted. kb position indicates position relative to the HNF4α P2 promoter translation initiation site at chromosomal 20q base position 43,622,874 of the human reference sequence (University of California, Santa Cruz [UCSC] Genome Browser, April 2003). *Additional SNPs genotyped to define the 5’ boundary of the associated haplotype block "tagged" by rs1884614; +additional SNPs (originally tested for association by FUSION) genotyped in Ashkenazi Jewish case and control subjects to replicate the associations identified by the FUSION study (31).
FIG. 2. A) Pairwise D' between all informative SNPs in Ashkenazi Jewish sample. D' values range from 0.0 to 1.0 (blue to red, respectively). Block structure indicated by I-VII. Single tick marks indicate “singleton” blocks as defined in HaploBlockFinder.

decay within the ~45-kb gap separating HNF4α from its alternative upstream promoter. Although the pattern of LD across this region was not striking due to the presence of the singleton blocks, this was not an unusual finding considering that LD and distance are semi-independent over short distances (40). In a separate study, we compared the LD pattern by genotyping these 15 SNPs in a sample of Centre d’Étude du Polymorphisme Humain individuals (n = 34) and found that the block structure was not significantly different. P2 and HNF4α were distributed in the same blocks identified in the Ashkenazim, and the singleton blocks located within the 45-kb region were also observed (data not shown).

We evaluated seven haplotype blocks anchored by nine common polymorphisms (htSNPs) in 275 Ashkenazi Jewish type 2 diabetic probands and 342 control subjects (Table 1). Association with type 2 diabetes was observed with two htSNPs (Table 1). The minor allele frequency of htSNP rs3818247 (located in a 3’ intronic region of HNF4α) occurred on 29.2% of the proband chromosomes versus 21.7% of the control subject chromosomes (P = 0.0028, uncorrected). Subsequent analysis of the distribution of the probands’ rs3818247 genotypes showed that the number of heterozygotes was greater than that expected by chance, consequently resulting in a failure to achieve HWE (χ² = 6.96, P < 0.01). Accordingly, this SNP was dropped from further analysis. The minor allele frequency of haplotype tag rs1884614 (located ~3.9 kb 5’ to P2) occurred in 26.9% of the case subjects vs. 20.3% of the control subjects (P = 0.0078, uncorrected). When corrected for multiple tests (i.e., nine htSNPs), the associations remained significant (P < 0.05). To determine the physical length of the associated haplotype block identified by htSNP rs1884614, we tested an additional SNP, rs4810442 (located upstream of rs1884614), and found it to be associated with type 2 diabetes. LD measures between these two SNPs indicated strong LD (D’ = 0.98, r² = 0.91, d² = 0.85 in the case subjects and D’ = 0.99, r² = 0.98, d² = 0.98 in the control subjects).

In an independent association analysis of type 2 diabetes in a Finnish sample from the FUSION study (31), several additional SNPs (Fig. 1) were observed to be associated with type 2 diabetes. We tested five of these SNPs and an additional SNP, rs3761184, to further define the length of the associated haplotype block in the Ashkenazim (Table 1). The two P2 proximal SNPs (rs1884613 and rs2144908) were found to be associated with type 2 diabetes in the Ashkenazim. Furthermore, these SNPs were found to be in strong LD with rs1884614 (D’ = 0.98, r² = 0.95, and d² = 0.95 between rs1884613 and rs1886414 in the probands; D’, r², and d² = 1.0 between rs2144908 and rs1884614 in the probands). Consequently, results from both studies identified a haplotype block spanning >10 kb of DNA that was associated with type 2 diabetes. In contrast, the FUSION-associated SNPs located near P1 (promoter proximal to the gene) were not associated with type 2 diabetes in the Ashkenazi sample.

Figure 3 reports the LOD score curves for the total sample of 199 multiplex families and the two subpartitions. As can be seen, the profiles for the two partitions were dramatically different. Indeed, it appears that the “A+” partition accounted for virtually all of the linkage signal on 20q12-13 present in our earlier analysis (3). For all 199 families, the maximum LOD score of 2.01 was located at D20S195. In the “A+” partition (families with the risk allele), the maximum LOD (2.72) also occurred at D20S195. By contrast, the “A-” partition (families without the risk allele) attained a LOD score of 0.17 at D20S195.

Figure 4 reports the results of the randomization tests. The A+ partition resulted in a significant enhancement (P < 0.05) of the LOD scores over two broad intervals. The most significant interval covered ~16.5 cM (D20S470 to D20S107). The greatest difference occurred at D20S477, where only 0.29% of the randomizations resulted in a larger LOD score than that observed in the true partition. The second interval extended from D20S100 (84.78 cM) to the most distal microsatellite we genotyped (D20S171 at 95.7 cM). This interval lies well outside the region of linkage we originally reported (3) and could easily have been a false-positive. Figure 4 also reveals a significant enhancement in LOD scores in the A- partition over a 10-cM interval on 20p (D20S103 to D20S482), where we had previously reported a weak linkage signal (3). The findings for this interval, however, were less clear than for the interval near D20S477 on 20q because the interval on 20p is punctuated by two adjacent groups of two microsatellites, each with P > 0.05.

DISCUSSION

The genetic dissection of any complex heterogeneous disease, for which type 2 diabetes certainly qualifies, is a slow and arduous process. Having previously defined a linkage peak encompassing HNF4α (1), the goal of this study was to examine 78 kb of the gene region by htSNP analysis. The approach taken here began with the identification of nine SNPs that define the common haplotypes encompassing the candidate region of HNF4α and its alternative promoter. This was followed by case-control studies in which we identified an htSNP (rs1884614)
located in the P2 region that was associated with type 2 diabetes. Collaborative efforts between the Ashkenazi Jewish and FUSION studies led to the discovery of four SNPs (rs4810424, rs1884613, rs1884614, and rs2144908) located within a >10-kb haplotype block encompassing the P2 promoter that were associated with type 2 diabetes in both study populations. Furthermore, the risk attributed by each SNP, estimated by the ORs for the associated SNPs, was remarkably similar between the two study populations, lending further support to the evidence that this region contributes to the risk for type 2 diabetes. Although we found associations in common with the FUSION study in the P2 region, we did not replicate FUSION findings in the P1 region. Several of the allelic differences of the SNPs in case versus control subjects tended in the same direction in both groups, favoring differences in sample size as a possible explanation. However, the absence of an association in the P1 region may have been due to a population-specific event in which the SNPs arose on different haplotypes in the two groups.

Significant SNPs were then tested to determine if they could resolve the etiologic heterogeneity by partitioning the families that provided the original linkage signal into homogeneous subgroups. The demonstration that partitioning our sample of multiplex families according to probands’ genotype at rs1884614 gave rise to significantly different LOD score profiles is prima facie evidence that the “A” allele (or an allele in strong LD with it) is a potent genetic risk factor predisposing to type 2 diabetes. We note that the P2 promoter of HNF4α was not located directly under our peak LOD score in the A+ partition. It would, indeed, be remarkable if the partitioning event

FIG. 3. LOD score curves for 199 multiplex type 2 diabetic families and two partitions defined by the proband’s genotype at rs1884614 (A+, n = 86 families; A−, n = 113 families).

FIG. 4. Empirical P values obtained by randomly sampling A+ (n = 86) families and A− (n = 113) families. The P values are based on 10,000 randomizations and report for each microsatellite marker the number of randomizations that attained a LOD score higher than that obtained when the families were partitioned according to the proband’s genotype at rs1884614. Some of the markers lie close to one another and are not clearly separated in the figure.
enhanced the LOD score in the HNF4α interval (bounded in our data by D20S107 and D20S119) to a greater extent than in the more centromeric region where our original signal was maximized in these same families.

The remarkable similarity between our linkage partitioning findings and those reported by Silander et al. (31) for the FUSION study allows us to speculate that chromosome 20 may actually contain two distinct type 2 diabetes–predisposing regions. In our families, the strongest signal and the most significant partitioning occurred on 20q. The signal on 20p was less persuasive in terms of the absolute LOD scores. In addition, the interval on 20p is sufficiently distant from the location of the partitioning event at HNF4α that it is unlikely that the effects of the partitioning could propagate over such a large distance. Nonetheless, the partitioning based on rs1884614 in our study or, equivalently, given the degree of LD, on rs2144906 in the FUSION study suggests that the partitioning appears to account for the original signal on 20q and that the region immediately upstream of the P2 promoter of HNF4α is an important contributor to risk.

It is reasonable to suggest that any of the four associated SNPs flanking the P2 promoter could have functional implications. For example, the expression of HNF4α P2-driven transcripts may be affected. Similarly, expression of adjacent hypothetical genes in the region may be affected. According to the current Entrez MapViewer (build 33), there are at least three predicted genes within the 78-kb region examined in this study (Fig. 1). These SNPs could be coding variants in yet-to-be-defined genes in this region. For example, SNP rs2144906 is positioned within the untranslated region of a predicted gene (FLJ39654) in which expressed sequence tags have been isolated from liver, kidney, and spleen. However, these predicted genes have not been described in pancreas.

In conclusion, it appears more likely that the four associated SNPs are regulatory variants or in LD with a coding or regulatory variant that predisposes to type 2 diabetes. These SNPs do not appear to be in linkage equilibrium with coding variants within HNF4α, as extensive dHPLC and sequence analysis failed to identify common nonsynonymous SNPs. A likely explanation for our results is that these SNPs are in fact markers for a chromosomal region that regulates expression of either HNF4α or one of the neighboring genes. This hypothesis can now be tested by measuring allele-specific transcription.

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