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**Association of ketone body levels with hyperglycemia and type 2 diabetes in 9,398 Finnish men**

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**Abstract**

We investigated the association of the levels of ketone bodies with hyperglycemia and with 62 genetic risk variants regulating glucose levels or type 2 diabetes in the population-based Metabolic Syndrome in Men (METSIM) study, including 9,398 Finnish men without diabetes or newly diagnosed type 2 diabetes. Increasing fasting and 2-hr plasma glucose levels were associated with elevated levels of acetoacetate and  $\beta$ -hydroxybutyrate. Acetoacetate and  $\beta$ -hydroxybutyrate predicted an increase in the glucose area under curve in an oral glucose tolerance test, and acetoacetate predicted the conversion to type 2 diabetes in a 5-year follow-up of the METSIM cohort. Impaired insulin secretion, but not insulin resistance, explained these findings. Of the 62 single nucleotide polymorphisms associated with the risk of type 2 diabetes or hyperglycemia, the glucose increasing C allele of *GCKR* significantly associated with elevated levels of fasting  $\beta$ -hydroxybutyrate levels. Adipose tissue mRNA expression levels of genes involved in ketolysis were significantly associated with insulin sensitivity (Matsuda index). In conclusion, high levels of ketone bodies predicted subsequent worsening of hyperglycemia and common variant of *GCKR* was significantly associated with acetoacetate levels.

Ketone bodies (KBs) serve as an important alternative source of energy in the fasting state. The circulating levels of KBs in the blood are determined by the balance of their rates of production (ketogenesis) and utilization (ketolysis). Ketogenesis includes the conversion of free fatty acids (FFAs) into two major KBs,  $\beta$ -hydroxybutyrate (BHB) and acetoacetate (AcAc). KBs undergo ketolysis in the extrahepatic tissues producing energy (1).

Ketogenesis takes place in the liver, and is accelerated by elevated concentrations of FFAs released from the adipose tissue, which is the major source of KBs (2). Insulin plays a central role in the regulation of KB levels. Low levels of insulin increase the rate of ketogenesis, and high levels of insulin suppress the rate of ketogenesis. Elevated insulin levels induce KB clearance *via* increased KB metabolism in extrahepatic tissues (3). Insulin resistant obese individuals have similar sensitivity for insulin-mediated suppression of ketogenesis as do lean individuals (4). Insulin also inhibits ketogenesis by preventing the breakdown of triglycerides into FFAs and glycerol (1, 5).

The circulating levels of KBs vary between individuals with normal and abnormal glucose tolerance. In diabetic ketoacidosis attributable to low insulin secretion, KB levels are very high whereas in people with normal glucose tolerance, the levels of KBs are usually low (5-7). Several small studies have found that levels of KBs are relatively high in patients with type 2 diabetes (6, 8), but it is not known how KBs vary across the entire range of glucose tolerance. Infusion of KBs into the fasting dogs induced hypoglycemia, but when hyperglycemia (9-10 mmol/L) was established by the constant infusion of glucose, AcAc and BHB promoted hyperglycemia (9). Thus, the effects of KBs on glucose metabolism seem to depend on the fasting state and on glucose levels. The underlying mechanisms by which KBs regulate glycemia remain unclear. Conflicting evidence has been published on the association of KBs with insulin sensitivity as elevated KB levels have been associated with insulin resistance in some studies (10-12) and insulin sensitivity in others (13). Similarly, KBs have

stimulated acute insulin secretion in some studies (14-17), while other studies report increased KB levels associated with decreased insulin secretion (18-20). Furthermore, very little is known with regards to the genetic variants regulating KB metabolism.

The purpose of our study was to investigate 1) the association of KB levels with fasting and 2-hr glucose levels across the entire range of glucose tolerance, 2) the association of KB levels with insulin secretion and insulin sensitivity, 3) the role of KB levels as predictors of the worsening of hyperglycemia or type 2 diabetes, 4) the association of single nucleotide polymorphisms (SNPs) regulating glucose levels or type 2 diabetes with KB levels, and 5) the association of adipose tissue mRNA expression of genes involved in KB metabolism with insulin sensitivity.

## RESEARCH DESIGN AND METHODS

**Subjects.** The study included 9,398 non-diabetic or newly diagnosed type 2 diabetic men from the population-based METSIM (METabolic Syndrome In Men) Study. The study protocol has been previously explained (21). Glucose tolerance was classified according to the ADA criteria (22). Among participants, 3,034 (32.3%) had normal glucose tolerance (NGT), 4,344 (46.2%) had isolated impaired fasting glucose (IFG), 312 (3.3%) had isolated impaired glucose tolerance (IGT), 1,059 (11.3%) had both IFG and IGT, and 649 (6.9%) had a new type 2 diabetes. Individuals with previously diagnosed type 1 or type 2 diabetes were excluded, and none of the participants were on anti-diabetic medication.

4,335 non-diabetic subjects from the original METSIM cohort of 10,197 men have been re-examined (mean follow-up time of 5 years); 4059 were non-diabetic, and 276 had a new type 2 diabetes at follow-up. Characteristics of the subjects included in the baseline and follow-up studies are given in Supplementary Table 1. The study was approved by the Ethics Committee of the University of Eastern Finland and Kuopio University Hospital, and was

conducted in accordance with the Helsinki Declaration. All study participants gave written informed consent.

**Anthropometric measurements.** Height, weight, and hip and waist circumference were measured as previously described (21). BMI was calculated as weight (kg) divided by height (m) squared.

**Oral glucose tolerance test.** A 2-hr oral glucose tolerance test (OGTT, 75 g of glucose) was performed and samples for plasma glucose and insulin were drawn at 0, 30, and 120 min.

**Laboratory measurements.** Plasma glucose was measured by enzymatic hexokinase photometric assay (Konelab Systems reagents; Thermo Fischer Scientific, Vantaa, Finland). Insulin was determined by immunoassay (ADVIA Centaur Insulin IRI no. 02230141; Siemens Medical Solutions Diagnostics, Tarrytown, NY). Proton nuclear magnetic resonance (NMR) spectroscopy was used to measure fasting AcAc and BHB levels (mmol/L) in serum samples (the mean storage time of 2.5 years). NMR methods have been previously described in detail (23). The fasting serum samples collected at the baseline study were stored at  $-80^{\circ}\text{C}$  and thawed overnight in a refrigerator prior to sample preparation. Aliquots of each sample (300  $\mu\text{l}$ ) were mixed with 300  $\mu\text{l}$  sodium phosphate buffer.

**Calculations.** The trapezoidal method was used to calculate the glucose and insulin areas under the curve (AUC) in an OGTT based on samples collected at 0, 30, and 120 min. Evaluation of insulin sensitivity (Matsuda ISI), insulin secretion ( $\text{InsAUC}_{0-30}/\text{GlucAUC}_{0-30}$ ), have been previously described (21, 24).

**Genotyping.** Genotyping of 62 SNPs associated with the risk of type 2 diabetes or hyperglycemia (25-28) was primarily based on Illumina HumanExome-12v1\_A Beadchip that includes 247,870 markers focusing on protein-altering variants selected from >12,000 exome and genome sequences representing multiple ethnicities and complex traits, as previously described in detail (29). SNPs that were not available from the exome array were

genotyped using either the Applied Biosystems TaqMan Allelic Discrimination Assay (rs10423928, rs231362) or Sequenom iPLEX Gold SBE assay (rs12779790, rs10811661, rs1111875, rs2612067, rs2283228, rs10923931, and rs10010131). TaqMan genotyping call rate was 100%, and discordance rate 0% among 4.5% DNA samples genotyped in duplicate. Sequenom iPLEX call rate was > 96.9%, and discordance rate 0% among 4.2% DNA samples genotyped in duplicate in METSIM Study participants. The concordance rates between genotyping methods were as follows: Sequenom vs Taqman 99.3% (based on >10,000 genotype comparisons); Sequenom vs ExomeChip 99.5% (based on >30,000 genotype comparisons), and Taqman vs ExomeChip 99.3% (based on >100,000 genotype comparisons). All SNPs were in Hardy-Weinberg equilibrium at the significance level corrected for multiple testing by Bonferroni method ( $P < 0.0012$ ).

**Gene expression analysis.** 200 subcutaneous fat biopsy samples were obtained from a random sample of the participants of the METSIM baseline study (age  $55.6 \pm 4.9$  years, BMI  $26.6 \pm 3.3$  kg/m<sup>2</sup>). Total RNA was isolated from these samples using Qiagen miRNeasy kit according to manufacturer's instructions. RNA integrity number (RIN) values were assessed with the Agilent Bioanalyzer 2100. High-quality samples (RIN >7.0) were used for transcriptional profiling with the Illumina Human HT-12 v3 Expression BeadChip. Genome Studio software (2010.v3) was used to obtain fluorescent intensities. The HT-12 BeadChip contains 48,804 expression and 786 control probes. Expression data from 19,306 probes were removed because of 1) failure of the probe to align to a genomic or transcriptomic location; or 2) alignment of the probe to multiple genomic or transcriptomic locations; or 3) presence of SNPs in the probe sequence that may affect hybridization efficiency as determined by the methodology developed by Barbosa-Morais et al. (30). The remaining 29,497 probes were processed using nonparametric background correction, followed by quantile normalization with control and expression probes using the *neqc* function in the *limma* package (R v2.13.0)

(31). The 16,223 probes with detection  $P$ -values  $<0.01$  in any of the 200 samples were used for further analysis. Gene expression data have been deposited to Gene Expression Omnibus (GEO) with the accession number GSE32512.

**Statistical analysis.** Statistical analyses were conducted using SPSS version 19 (SPSS, Chicago, IL). All traits except age were log-transformed to correct for their skewed distributions. We used linear regression model to evaluate fasting KBs as predictors for glucose AUC at 5-follow-up. Logistic regression analysis was used to assess the association between KBs and incident type 2 diabetes. Quintiles of insulin sensitivity and insulin secretion across the categories of glucose tolerance were compared with the analysis of variance ( $P < 0.0125$  was considered as statistically significant given 4 tests for 2 KBs and 2 glucose tolerance categories). Unstandardized effect sizes [B (SE)] per copy of the risk alleles of the SNPs investigated were estimated by linear regression analysis using untransformed dependent variables, and percentage of B from the mean values of KBs was calculated. After the Bonferroni correction for multiple testing (for 124 tests given the 62 SNPs and 2 traits)  $P < 4.0 \times 10^{-4}$  was considered as statistically significant. For both AcAc and BHB, we had  $\geq 80\%$  power to detect changes ( $P < 0.05$ ) in the mean trait values from 0.76 to 4.47% per one copy of the risk allele (risk allele frequencies ranging from 0.05 to 0.5). We correlated adipose tissue mRNA expression of major enzymes involved in the synthesis and degradation of KBs (KEGG pathway hsa00072) with insulin sensitivity and insulin secretion (Supplementary Figure 1). In total, there were 9 enzymes in the pathway, namely, *ACAT1*, *ACAT2*, *OXCT1*, *OXCT2*, *BDH1*, *BDH2*, *HMGCS1*, *HMGCS2* and *HMGCL*. Additionally, the genes encoding the enzymes *CPT1A* and *CPT2* involved in the fatty acid metabolism (KEGG pathway hsa00071) and *ACSS2* gene encoding the enzyme involved in the activation of acetate to acetyl-coA were included. Among these genes, expression data were either not available or filtered for *ACAT2*, *OXCT2*, *BDH2* and *HMGCL*.

## RESULTS

**Levels of ketone bodies across the categories of glucose tolerance (Figure 1).** We evaluated the association of AcAc and BHB in non-diabetic individuals and individuals with newly diagnosed type 2 diabetes in the fasting plasma glucose (FPG) and 2-hour plasma glucose (2hPG) categories. FPG  $\leq 5.4$  mmol/L and 2hPG  $\leq 5.9$  mmol/L were set as the reference categories. In the FPG category, AcAc levels decreased significantly ( $P < 0.01$ ) in individuals with IFG by -2% (95% CI, -4, -0%) and increased significantly ( $P < 0.01$ ) in individuals with newly detected diabetes by +64% (95% CI, +16, +109%), as compared with the reference category. BHB level decreased significantly ( $P < 0.01$ ) in subjects with IFG by -5% (95% CI, -7%, -3%) and increased significantly ( $P < 0.01$ ) in the diabetic range by +99% (95% CI, +6%, +186%). In the 2hPG category, AcAc level increased significantly ( $P < 0.01$ ) in subjects with IGT by +21% (95% CI, +13%, +28%) as well as in newly diagnosed diabetes by +29% (95% CI, +16%, +42%), as compared to the reference category. BHB level increased nominally in IGT ( $P < 0.05$ ) by +12% (95% CI, +4%, +20%) and in newly diagnosed type 2 diabetes ( $P < 0.01$ ) by +52% (95% CI, +23%, +79%), as compared to the reference category. Fasting AcAc and BHB levels correlated significantly with FPG ( $r = -0.051$ ,  $P = 1.9 \times 10^{-6}$ , and  $r = -0.065$ ,  $P = 1.4 \times 10^{-9}$ , respectively) and 2hPG levels ( $r = 0.079$ ,  $P = 1.4 \times 10^{-13}$ , and  $r = 0.042$ ,  $P = 9.2 \times 10^{-5}$ , respectively).

**Ketone bodies and the risk of hyperglycemia and incident diabetes.** Follow-up data of 4,335 participants were available from the ongoing prospective METSIM 5-year follow-up study. A total of 276 participants developed incident diabetes between the baseline and follow-up studies (follow-up of 5 years). Most of the participants who developed a new diabetes on the basis of FPG level (62 of 70 participants) had their FPG in the range of 7.0-7.5 mmol/L, and most of the participants who developed a new diabetes on the basis of 2hPG



had their 2hPG level (52 of 80 participants) in the range of 11.1-12.0 mmol/L. AcAc and BHB levels adjusted for confounding factors known to increase the risk of diabetes (age, BMI, smoking, and physical activity) predicted an increase in glucose AUC evaluated as a continuous variable at follow-up ( $P=2.3\times 10^{-4}$ ,  $P=5.7\times 10^{-6}$ , respectively), and quite similar results were obtained for the comparison of the highest quartile of glucose AUC vs. the three lowest quartiles of glucose AUC ( $P=7.9\times 10^{-8}$ ,  $P=3.4\times 10^{-6}$ , respectively) (Table 1). After further adjustment for baseline glucose AUC the associations lost their statistical significance. The highest quartile of AcAc adjusted for for age, BMI, smoking, and physical activity predicted conversion to type 2 diabetes, OR 1.32 (95% CIs, 1.00, 1.74;  $P=0.047$ ; Table 2), and also after further adjustment for FPG (OR 1.41, 95% CIs 1.06-1.89,  $p=0.019$ ). Adjustment for 2hPG, instead of FPG, abolished statistical significance ( $P=0.423$ ). When analyzed in glucose tolerance categories, AcAc predicted incident diabetes in individuals with IFG (OR 1.49, 95% CI 1.12-1.99,  $P=0.007$ ) after the adjustment for confounding factors.

Additional adjustment for insulin sensitivity strengthened the association of KBs with development of hyperglycemia and conversion to type 2 diabetes, while insulin secretion weakened/abolished these associations (Supplementary Table 2).

#### **Levels of ketone bodies across the quintiles of insulin sensitivity and insulin secretion.**

To study the mechanisms by which KBs could be linked to hyperglycemia, we investigated their association with insulin sensitivity and insulin secretion in non-diabetic individuals. The levels of AcAc and BHB increased significantly ( $P<0.01$ ) in the highest quintile of Matsuda ISI up to 29% and 41%, respectively, as shown in Figure 2. AcAc and BHB levels decreased significantly ( $P<0.01$ ) by -23 and -29%, respectively, in the highest quintile of insulin secretion (adjusted for insulin sensitivity) compared to the lowest quintile. In multivariate linear regression model including insulin sensitivity and insulin secretion as independent

variables, insulin sensitivity remained inversely associated with AcAc ( $P < 1.0 \times 10^{-19}$ ) and BHB levels ( $P < 1.0 \times 10^{-22}$ ).

**Association of risk SNPs for type 2 diabetes or hyperglycemia with the levels of ketone bodies.** Associations of 62 risk SNPs for type 2 diabetes or hyperglycemia with KB levels are shown in Table 3. After correction for multiple testing (threshold of statistical significance,  $P < 4.0 \times 10^{-4}$ ), the glucose increasing C allele of rs780094 of *GCKR* showed a significant association with elevated levels of BHB (effect size +5.6% per the C allele,  $P = 3.7 \times 10^{-6}$  after adjusting for age and BMI) and a nominally significant association with AcAc (+3.9%,  $P = 0.003$ ). Additionally, there were nominally significant associations for SNPs of *FADS1*, *ANK1*, *GIPR*, *HMG2* and *SLC2A2* with the levels of AcAc or BHB or both (Table 3).

**Gene expression of genes involved in ketone body metabolism.** Correlations of adipose tissue mRNA expression with the most important genes regulating FFA oxidation, ketogenesis, and ketolysis are shown in Table 4. Pearson correlations of fasting FFAs with AcAc was 0.483 ( $P < 0.001$ ) and with BHB 0.443 ( $P < 0.001$ ) in non-diabetic METSIM participants, and therefore genes regulating FFA metabolism were included in statistical analyses. Adipose tissue mRNA expression of the gene encoding *CPT1A* (carnitine palmitoyltransferase 1A) was positively correlated with glucose AUC and inversely with Matsuda ISI. This enzyme regulates the binding of carnitine to long-chain fatty acids, allowing them to be transported to the mitochondria for FFA oxidation. Expression of genes regulating ketogenesis *HMGCS1* (3-hydroxy-3-methylglutaryl-CoA synthase 1, soluble) and *HMGCS2* (3-hydroxy-3-methylglutaryl-CoA synthase 2, mitochondrial) did not correlate significantly with glucose AUC, insulin sensitivity, or insulin secretion. In contrast, significant correlations were found with adipose tissue mRNA expression levels of several genes associated with ketolysis with glucose metabolism parameters. Of these genes, *ACATI* expression had the most significant correlations with glucose AUC ( $r = -0.314$ ,  $P = 6.1 \times 10^{-6}$ ),

Matsuda ISI ( $r=0.479$ ,  $P=7.1\times 10^{-13}$ ), and insulin secretion ( $r=-0.444$ ,  $P=7.0\times 10^{-11}$ ). *ACAT1* encodes acetyl-CoA acetyltransferase 1, an enzyme responsible for the last step in KB breakdown where two molecules of acetyl-CoA are generated from acetoacetyl-CoA (Supplementary Figure 1). Similarly, expression of other genes regulating ketolysis, *BDHI* ( $\beta$ -hydroxybutyrate dehydrogenase, type 1), *OXCT1* (3-oxoacid CoA transferase 1), and *ACSS2* (acyl-CoA synthetase short-chain family member 2) were inversely correlated with glucose AUC and insulin secretion and positively correlated with Matsuda ISI. Adipose tissue mRNA expression of ketolysis genes did not correlate with the levels of KBs (all correlations  $< 0.10$ ,  $P=NS$ ).

## Discussion

In this population-based cross-sectional study of 9,398 men with prospective 5-year follow-up data on 4,335 men, we evaluated the relationship between the levels of KBs and hyperglycemia, the levels of KBs as risk markers for incident type 2 diabetes, and the mechanisms explaining these associations. Our study reports several novel findings: 1) KB levels increased in participants with IGT and type 2 diabetes at baseline, 2) KBs predicted the worsening of hyperglycemia and incident type 2 diabetes in a 5-year follow-up, 3) the association of KBs with the worsening of hyperglycemia was attributable to impaired insulin secretion, and not to insulin resistance, 4) of the 62 risk variants for type 2 diabetes or hyperglycemia, the glucose increasing major C allele of rs780094 of *GCKR* was associated significantly with elevated BHB levels, and 5) adipocyte RNA expression of several key enzymes involved in ketolysis correlated inversely with glycemia and insulin secretion, and positively with insulin sensitivity.

**Ketone bodies and hyperglycemia.** High levels of KBs have been observed in individuals with diabetes (6, 8, 32) but there is no previous information about KB levels in the non-

diabetic glucose range. In our study, the levels of AcAc and BHB were slightly decreased in subjects with IFG, but increased in the diabetic range (FPG  $\geq$  9.0 mmol/L) up to 64 and 99%, respectively. In contrast, in the 2-hr glucose categories the levels of AcAc and BHB were already somewhat increased in subjects with NGT and IGT, and significantly increased up to 29 and 52%, respectively, in individuals with newly-diagnosed diabetes (2hPG  $\geq$  13 mmol/L). Thus, our study provides clear evidence that high levels of KBs are not only indicators of diabetic hyperglycemia, but are also markers of disturbed glucose metabolism in the prediabetic state.

We also observed that the levels of AcAc and BHB predicted an increase in glucose AUC in non-diabetic individuals, but the associations were abolished after the adjustment for glucose AUC at baseline. This could indicate an important link between the levels of KBs and glucose metabolism, but on the other hand the clinical importance of KBs as markers for the worsening of hyperglycemia might be limited. AcAc, but not BHB, predicted the development of new type 2 diabetes during a prospective 5-year follow-up of the METSIM cohort, independent of known risk factors for type 2 diabetes and fasting glucose level at baseline. The reason why KBs predicted very significantly the worsening of glycemia, but not so clearly incident diabetes, are FPG and 2hPG levels at the diagnosis of type 2 diabetes, which were often only marginally elevated (FPG in the range of 7.0-7.5 mmol/L, 2hPG in the range of 11.1-12.0 mmol/L), whereas the levels of KBs were significantly increased at higher glucose levels (FPG levels exceeding 8.0 mmol/L, 2hPG levels exceeding 12.0 mmol/L, Figure 1). Our results suggest that fasting AcAc could be a new marker for the development of incident diabetes. It is of interest to note that a recent study identified  $\alpha$ -hydroxybutyrate, an organic acid derived from  $\alpha$ -ketobutyrate, as a biomarker of insulin sensitivity in subjects with normal glucose tolerance (33).

To study the mechanisms by which KBs can increase the risk of hyperglycemia, we investigated the association of KB levels with insulin sensitivity and insulin secretion. Surprisingly, we found that high levels of KBs were associated with high insulin sensitivity in the non-diabetic glucose range at baseline, similar to recent findings in young Finnish adults (13). Furthermore, insulin sensitivity was significantly correlated with the key enzymes of ketolysis (Table 4), which suggests that in insulin sensitive individuals KBs are rapidly converted to acetyl-CoA, which stimulates oxidative phosphorylation and mitochondrial generation of ATP. Based on these findings it is not likely that insulin resistance is an important mechanism in the prediction of hyperglycemia by elevated KB levels. This was clearly demonstrated by our 5-year follow-up data which showed that adjustment for Matsuda ISI did not weaken the association of KBs with the development of hyperglycemia. In contrast, impaired insulin secretion substantially weakened or abolished the association of KBs with the development of hyperglycemia and the conversion to type 2 diabetes. These findings emphasize the crucial role of impaired insulin secretion as a regulator of hyperglycemic effects of KBs. Adequate insulin secretion relative to insulin sensitivity maintains low levels of KBs by suppressing the expression of hormone sensitive lipase and thus prevents the release of FFAs from adipose tissue which is the major source of hepatic ketogenesis and high circulating levels of KBs (5, 19,34).

**Risk SNPs for hyperglycemia or type 2 diabetes and their association with the levels of ketone bodies.** The association of KB levels with hyperglycemia prompted us to investigate the role of risk SNPs for type 2 diabetes and hyperglycemia in KB metabolism. Of the 62 SNPs analyzed, only the glucose increasing major C allele of rs780094 of *GCKR* (encoding glucokinase regulatory protein) was significantly associated with increased BHB levels and nominally associated with AcAc levels. Glucokinase is the principal component in sensing the glucose level and plays a vital role in whole body glucose homeostasis and its activity is

regulated by *GCKR* in the liver (35). The C allele of rs780094 of *GCKR* has been previously reported to be associated with fasting glycemia, type 2 diabetes, insulin resistance, and decreased levels of total and VLDL triglycerides, decreased levels of alanine and isoleucine, and elevated levels of glutamine (36-40). The association of rs780094 with KB levels adds further to the pleiotropy of the multiple effects of *GCKR*.

There were several nominally significant associations of different SNPs of *ANKK1*, *GIPR*, *HMG2*, *SLC2A2* and *FADS1* with KB levels. However, these associations did not have a consistent pattern (increase/decrease, associations with AcAc or BHB or both), and therefore these results need to be replicated in other population-based studies before making conclusions on implications of these findings.

**Limitations.** Our study included only middle-aged Finnish men and the applicability of these results to women or to other ethnic and racial groups remains unknown. Although our cohort included > 9,000 men, the power of our study to demonstrate significant associations of KBs with SNPs regulating glucose levels or the risk of type 2 diabetes is limited.

In conclusion, our large population based study of 9,398 men shows that elevated levels of KBs associate with fasting and 2-hr glucose and predict the worsening of glycemia and incident type 2 diabetes. Impaired insulin secretion, but not insulin resistance, explained these findings. The major C allele of rs780094 of *GCKR* that is known to increase glycemia, significantly associated with KB levels.

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**Author contribution:** Y.M. wrote the manuscript and researched data. J.V., H.C., A.S. and J.Pi. researched data and reviewed/edited manuscript. P.S. conceived, designed and performed the NMR experiments, analyzed the data, reviewed/edited manuscript. A.J.K. analyzed the NMR data, contributed analysis tools, reviewed/edited manuscript. J.Pa., M.C., N.K.S., P.P. and A.J.L. performed the mRNA experiments, analyzed the data and reviewed/edited manuscript. L.L.B., M.A.M., F.S.C. K.L.M. and M.B. designed and performed genotyping and reviewed/edited manuscript. M.B. contributed to analysis tools and reviewed/edited manuscript. M.A-K. conceived and designed the NMR experiments, analyzed the data, reviewed/edited manuscript. J.K. designed the study and reviewed manuscript. M.L. designed the study, contributed to discussion, reviewed/edited manuscript, and is a guarantor of this work.

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## Figure Legends

**Figure 1** Mean values and their 95% confidence intervals of fasting levels of acetoacetate (*A*, *B*) and  $\beta$ -hydroxybutyrate (*C*, *D*) across the fasting and 2-hr glucose categories. *P* values (from ANOVA post-hoc tests) indicate statistical significance with respect to the reference category (fasting plasma glucose  $\leq 5.4$  mmol/L, 2-hr plasma glucose  $\leq 5.9$  mmol/L). \**P*<0.05, \*\**P*<0.01. NGT indicates normal glucose tolerance, IFG impaired fasting glucose and IGT impaired glucose tolerance.

**Figure 2.** Mean values and their 95% confidence intervals of fasting levels of acetoacetate and  $\beta$ -hydroxybutyrate across the quintiles of Matsuda ISI (*A*, *C*), and Matsuda ISI adjusted  $\text{InsAUC}_{0-30}/\text{GlucAUC}_{0-30}$  (*B*, *D*) in non-diabetic subjects. *P* values (from ANOVA post-hoc tests) indicate statistical significance with respect to the reference category (1<sup>st</sup> lowest quintiles) \**P*<0.05, \*\**P*<0.01. Quintiles of Matsuda ISI (*A*, *C*): 1<sup>st</sup> (lowest) ( $\leq 3.130$ ), 2<sup>nd</sup> (3.131-4.857), 3<sup>rd</sup> (4.858-6.808), 4<sup>th</sup> (6.809-9.621), 5<sup>th</sup> (highest) ( $\geq 9.622$ ). Quintiles of Matsuda ISI adjusted  $\text{InsAUC}_{0-30}/\text{GlucAUC}_{0-30}$  (*B*, *D*): 1<sup>st</sup> (lowest) ( $\leq 22.439$ ), 2<sup>nd</sup> (22.440-30.243), 3<sup>rd</sup> (30.244-35.655), 4<sup>th</sup> (35.656-40.445), 5<sup>th</sup> (highest) ( $\geq 40.446$ ).

**Table 1** Association of baseline levels of fasting acetoacetate and  $\beta$ -hydroxybutyrate as predictors of glucose AUC at 5-year follow-up. Statistical analyses were performed with the glucose AUC as a continuous variable and as the highest quartile (Q4) vs. the three lowest quartiles (Q1-Q3) combined.

Variable	Glucose AUC at follow-up as a continuous variable				Glucose AUC at follow-up as Q4 vs. Q1-Q3			
	<i>N</i>	<i>B</i>	<i>SE</i>	<i>P</i>	<i>N</i>	<i>OR</i>	95 % <i>CI</i>	<i>P</i>
Acetoacetate, mmol/L	4181	39.5	10.1	<b><math>2.3 \times 10^{-4}</math></b>	4181	1.56	(1.33-1.84)	<b><math>7.9 \times 10^{-8}</math></b>
$\beta$ -hydroxybutyrate, mmol/L	4179	51.6	11.1	<b><math>5.7 \times 10^{-6}</math></b>	4200	1.46	(1.25-1.72)	<b><math>3.4 \times 10^{-6}</math></b>

*B* and *SE* were obtained from multiple linear regression. Odds ratios (*OR*) and their 95% confidence intervals were obtained from logistic regression analyses. *P* values are adjusted for age, BMI, smoking, and physical activity.

**Table 2** Association of baseline levels of fasting acetoacetate and  $\beta$ -hydroxybutyrate (highest quartile vs. the three lowest quartiles) with incident type 2 diabetes during 5-year follow-up

Variable	OR	95% CI	<i>P</i>	OR	95% CI	<i>P</i> *
Acetoacetate, mmol/L	1.37	(1.05-1.80)	<b>0.022</b>	1.32	(1.00-1.74)	<b>0.047</b>
$\beta$ -hydroxybutyrate, mmol/L	1.00	(0.76-1.32)	0.996	1.03	(0.77-1.36)	0.864

Odds ratios (OR) and their 95% confidence intervals were obtained from multiple logistic regression analyses. The number of individuals with incident type 2 diabetes at follow-up was 269 (40% developed diabetes based on FPG, 46% based on 2hPG, and 14% based on both FPG and 2hPG levels) and the number of individuals who remained non-diabetic was 4,008. *P* is unadjusted. *P*\* adjusted for age, BMI, smoking, and physical activity.

**Table 3** Association of 62 risk SNPs for type 2 diabetes or hyperglycemia with fasting acetoacetate (mmol/L) and  $\beta$ -hydroxybutyrate (mmol/L)

SNP	Gene	N	Allele maj/min	Risk allele frequency	Acetoacetate			$\beta$ -hydroxybutyrate		
					%B	P	P*	%B	P	P*
rs552976	<i>ABCB11/G6PC2</i>	8120	G/A	66.0	-0.4	0.562	0.555	-0.1	0.825	0.871
rs4607103	<i>ADAMTS9</i>	8120	G/A	74.1	-3.7	<b>0.007</b>	<b>0.009</b>	-3.3	0.067	0.079
rs11708067	<i>ADCY5</i>	8117	A/G	84.1	-1.0	0.462	0.532	-0.5	0.949	0.945
rs10885122	<i>ADRA2A</i>	8120	G/T	84.3	-0.5	0.639	0.681	-1.1	0.989	0.946
rs516946	<i>ANK1</i>	8120	C/T	80.5	+3.4	<b>0.042</b>	<b>0.036</b>	+3.4	<b>0.014</b>	<b>0.011</b>
rs4737009	<i>ANK1</i>	8120	C/T	79.1	-0.6	0.481	0.494	-1.7	0.080	0.097
rs459193	<i>ANKRD55</i>	8120	G/A	67.0	-0.3	0.624	0.666	-0.1	0.618	0.653
rs7202877	<i>BCAR1</i>	8120	T/G	85.5	0.7	0.464	0.475	0.6	0.743	0.809
rs243021	<i>BCL11A</i>	8120	G/A	43.6	-1.3	0.174	0.153	-0.9	0.232	0.188
rs12779790	<i>CDC123</i>	8007	A/G	21.1	0.7	0.988	0.951	0.1	0.694	0.593
rs7754840	<i>CDKAL1</i>	8120	G/C	36.9	-1.0	0.555	0.471	-0.2	0.651	0.483
rs10811661	<i>CDKN2B</i>	8488	T/C	85.3	0.3	0.313	0.327	1.1	0.303	0.289
rs1552224	<i>CENTD2</i>	8119	A/C	74.7	1.9	<b>0.022</b>	<b>0.025</b>	1.8	0.099	0.133
rs13292136	<i>CHCHD9</i>	8056	C/T	86.6	0.8	0.397	0.406	0.2	0.843	0.870
rs11605924	<i>CRY2</i>	8108	A/C	52.9	1.7	0.124	0.097	3.4	<b>0.002</b>	<b>0.001</b>
rs2191349	<i>DGKB</i>	8120	G/T	42.8	1.3	0.152	0.160	2.3	<b>0.038</b>	<b>0.047</b>
rs174550	<i>FADS1</i>	8119	T/C	57.7	-3.2	<b>6.7x10<sup>-4</sup></b>	<b>5.5x10<sup>-4</sup></b>	-3.1	0.151	0.112
rs11071657	<i>FAM148A</i>	8118	A/G	69.1	-0.1	0.446	0.505	-2.7	<b>0.032</b>	<b>0.019</b>
rs1046896	<i>FN3K</i>	8120	C/T	25.0	1.1	0.450	0.436	1.1	0.745	0.709
rs9939609	<i>FTO</i>	8100	T/A	40.1	-0.4	0.961	0.839	-1.2	0.340	0.581
rs560887	<i>G6PC2</i>	8120	C/T	72.1	-0.6	0.333	0.329	-0.3	0.987	0.908
rs4607517	<i>GCK</i>	8120	G/A	89.9	1.0	0.757	0.684	0.9	0.506	0.423
rs1799884	<i>GCK</i>	8120	C/T	12.1	1.0	0.757	0.684	0.9	0.506	0.423
rs780094	<i>GCKR</i>	8120	C/T	62.2	3.9	<b>0.005</b>	<b>0.003</b>	5.6	<b>8.3x10<sup>-6</sup></b>	<b>3.7x10<sup>-6</sup></b>
rs10423928	<i>GIPR</i>	8302	T/A	21.6	-3.8	<b>0.001</b>	<b>0.001</b>	-3.7	<b>0.004</b>	<b>0.003</b>
rs7034200	<i>GLIS3</i>	8103	C/A	48.8	1.5	0.422	0.406	0.1	0.798	0.759
rs13389219	<i>GRP14</i>	8120	C/T	67.0	0.5	0.817	0.759	0.1	0.805	0.641
rs1408272	<i>HFE</i>	8120	T/G	5.00	2.0	0.160	0.180	0.3	0.770	0.879
rs1111875	<i>HHEX</i>	8361	G/A	53.6	0.4	0.447	0.414	0.6	0.225	0.228
rs7177055	<i>HMG20A</i>	8120	A/G	66.0	0.1	0.668	0.557	0.3	0.395	0.247
rs2612067	<i>HMGA2</i>	8353	T/G	6.90	-5.9	<b>0.005</b>	<b>0.006</b>	-6.0	<b>0.009</b>	<b>0.010</b>
rs7957197	<i>HNF1A</i>	8120	T/A	78.5	0.3	0.700	0.589	0.5	0.648	0.786
rs7501939	<i>HNF1B</i>	8119	C/T	27.4	-0.8	0.619	0.630	-2.2	0.397	0.405
rs4402960	<i>IGF2BP2</i>	8119	G/T	32.0	-0.7	0.379	0.414	0.4	0.793	0.765
rs7578326	<i>IRS1</i>	8119	A/G	64.6	1	0.340	0.363	0.7	0.315	0.412
rs864745	<i>JAZF1</i>	8117	A/G	51.6	-1.7	0.117	0.131	-1.5	0.341	0.374
rs5219	<i>KCNJ11</i>	8119	G/A	47.1	-0.9	0.730	0.669	-1.3	0.115	0.086
rs2283228	<i>KCNQ1</i>	8631	A/C	94.0	2.2	0.362	0.314	0.9	0.941	0.863
rs231362	<i>KCNQ1</i>	8388	G/A	51.9	-1.8	<b>0.050</b>	<b>0.041</b>	-1.7	0.261	0.199
rs972283	<i>KLF14</i>	8120	G/A	57.5	-2.8	0.055	0.066	-2.7	<b>0.009</b>	<b>0.014</b>
rs10842994	<i>KLHDC5</i>	8120	C/T	84.6	1.2	0.794	0.718	0.6	0.941	0.803
rs7944584	<i>MADD</i>	8120	A/T	81.9	1.2	0.154	0.143	0.9	0.518	0.460
rs12970134	<i>MCR4</i>	8120	G/A	82.5	3.6	<b>0.006</b>	<b>0.005</b>	2.0	0.129	0.100
rs10830963	<i>MTNR1B</i>	8119	C/G	36.1	0.8	0.475	0.477	0.8	0.707	0.786
rs1387153	<i>MTNR1B</i>	8120	C/T	39.4	-0.5	0.657	0.682	-0.2	0.542	0.499
rs10923931	<i>NOTCH2</i>	8631	C/A	14.0	-0.2	0.701	0.694	-1.1	0.868	0.869
rs1801282	<i>PPARG</i>	8119	C/G	84.9	3.0	<b>0.036</b>	<b>0.037</b>	-0.03	0.996	0.918
rs8042680	<i>PRCI</i>	8119	C/A	32.9	2.0	0.137	0.126	2.4	0.282	0.319
rs340874	<i>PROX1</i>	8120	T/C	39.7	-0.6	0.905	0.868	-0.1	0.760	0.843
rs11920090	<i>SLC2A2</i>	8120	T/A	86.7	-3.8	<b>0.011</b>	<b>0.011</b>	-4.9	<b>0.018</b>	<b>0.017</b>
rs13266634	<i>SLC30A8</i>	8119	C/T	60.4	-0.6	0.300	0.308	-0.1	0.576	0.532
rs10401969	<i>SUGPI</i>	8120	C/T	94.0	-0.5	0.943	0.964	+2.3	0.507	0.462

rs7903146	<i>TCF7L2</i>	8120	<u>C/T</u>	17.8	0.7	0.811	0.805	0.3	0.850	0.956
rs7578597	<i>THADA</i>	8120	<u>T/C</u>	95.1	-1.0	0.228	0.218	0.2	0.429	0.409
rs2796441	<i>TLE1</i>	8120	<u>G/A</u>	59.1	-0.3	0.999	0.861	-1.4	0.401	0.272
rs896854	<i>TP53INP1</i>	8120	<u>C/T</u>	45.9	0.8	0.963	0.903	1.2	0.269	0.421
rs7961581	<i>TSPAN8</i>	8041	<u>T/C</u>	19.7	-0.4	0.779	0.712	-1.8	0.634	0.669
rs17271305	<i>VPS13C</i>	8120	<u>G/A</u>	50.5	0.4	0.900	0.853	1.0	0.272	0.226
rs10010131	<i>WFS1</i>	8631	<u>G/A</u>	55.5	-0.4	0.491	0.506	-0.3	0.745	0.758
rs4457053	<i>ZBED3</i>	8120	<u>A/G</u>	21.4	-1.6	0.403	0.417	0.5	0.632	0.617
rs11634397	<i>ZFAND6</i>	8120	<u>G/A</u>	59.1	-0.8	0.777	0.777	-1.5	0.296	0.290
rs12571751	<i>ZMIZ1</i>	8120	<u>A/G</u>	55.5	-0.6	0.531	0.579	-0.6	0.348	0.391

Major/minor (maj/min) alleles of each SNP are shown. Risk alleles for hyperglycemia or type 2 diabetes are underlined. Effect sizes (indicated as % of B from the mean) per risk allele were calculated in non-diabetic participants (N=8,007-8,631) using untransformed variable. *P*-values were obtained from linear regression analysis using transformed variables. Significant *P*-values ( $P < 4.0 \times 10^{-4}$ ) after the Bonferroni adjustment for multiple testing (for 124 tests given 62 SNPs and 2 traits) are given in bold and underlined. Significant *P* values are given in bold. *P* is unadjusted. *P*\* is adjusted for age and BMI.

**Table 4** Pearson correlations of adipose tissue mRNA expression of major enzymes involved in fatty acid oxidation, ketogenesis and ketolysis with glucose AUC, Matsuda ISI, and Matsuda ISI adjusted InsAUC<sub>0-30</sub>/GlucAUC<sub>0-30</sub>

Function /Gene	Glucose AUC		Matsuda ISI		InsAUC <sub>0-30</sub> / GlucAUC <sub>0-30</sub>	
	<i>r</i>	<i>P</i>	<i>r</i>	<i>P</i>	<i>r</i>	<i>P</i>
<b>Fatty acid oxidation</b>						
<i>CPT1A</i>	0.198	4.9x10 <sup>-3</sup>	-0.229	1.1x10 <sup>-3</sup>	0.168	0.019
<i>CPT2</i>	-0.068	0.340	0.249	3.7x10 <sup>-4</sup>	-0.274	1.0x10 <sup>-4</sup>
<b>Ketogenesis</b>						
<i>HMGCS2</i>	0.078	0.273	-0.013	0.851	0.006	0.936
<i>HMGCS1</i>	-0.042	0.557	0.088	0.217	-0.068	0.342
<b>Ketolysis</b>						
<i>BDHI</i>	-0.222	1.6x10 <sup>-3</sup>	0.425	3.4x10 <sup>-10</sup>	-0.408	3.0x10 <sup>-9</sup>
<i>OXCT1</i>	-0.121	0.088	0.232	9.4x10 <sup>-4</sup>	-0.182	0.011
<i>ACAT1</i>	-0.314	6.1x10 <sup>-6</sup>	0.479	7.1x10 <sup>-13</sup>	-0.444	7.0x10 <sup>-11</sup>
<i>ACSS2</i>	-0.108	0.130	0.307	9.7x10 <sup>-6</sup>	-0.274	1.0x10 <sup>-4</sup>

*CPT1A* = carnitine palmitoyltransferase 1A, *CPT2* = carnitine palmitoyltransferase II, *HMGCS2* = 3-hydroxy-3-methylglutaryl-CoA synthase 2 (mitochondrial), *HMGCS1* = 3-hydroxy-3-methylglutaryl-CoA synthase 1 (soluble), *BDHI* = 3-hydroxybutyrate dehydrogenase, type 1, *OXCT1* = 3-oxoacid CoA transferase 1, *ACAT1* = acetyl-CoA acetyltransferase 1, *ACSS2* = acyl-CoA synthetase short-chain family member 2



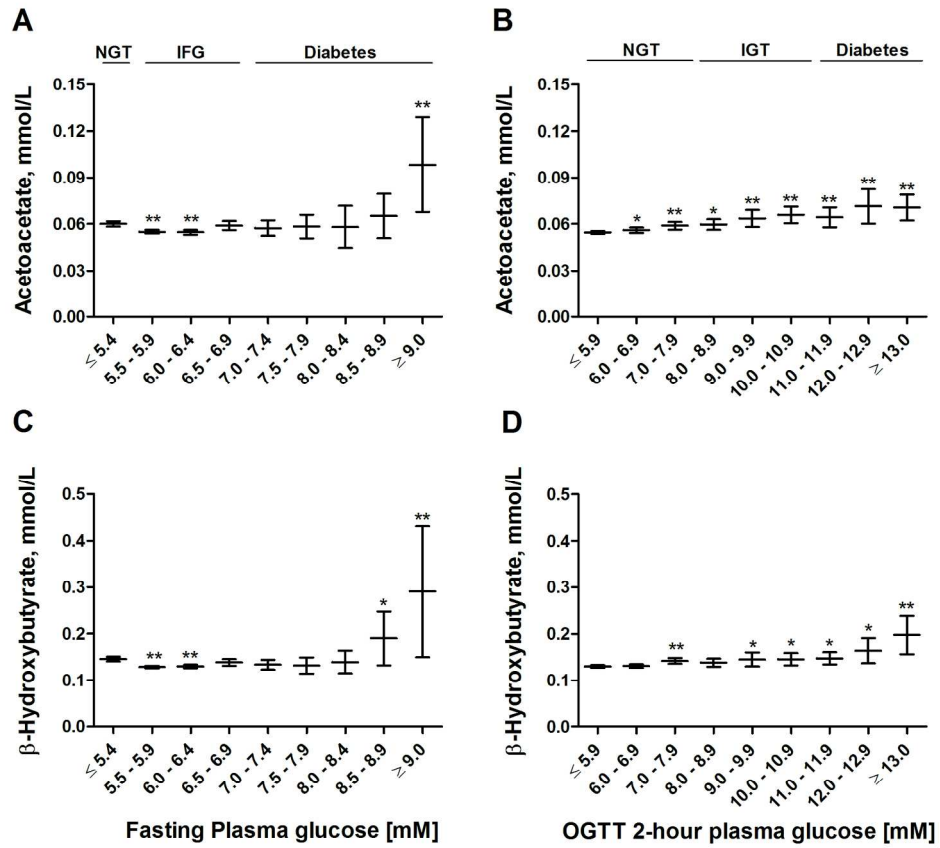


Figure 1. Mean values and their 95% confidence intervals of fasting levels of acetoacetate (A, B) and β-hydroxybutyrate (C, D) across the fasting and 2-hr glucose categories. P values (from ANOVA post-hoc tests) indicate statistical significance with respect to the reference category (fasting plasma glucose ≤5.4 mmol/L, 2-hr plasma glucose ≤5.9 mmol/L). \*P<0.05, \*\*P<0.01. NGT indicates normal glucose tolerance, IFG impaired fasting glucose and IGT impaired glucose tolerance.

183x164mm (300 x 300 DPI)

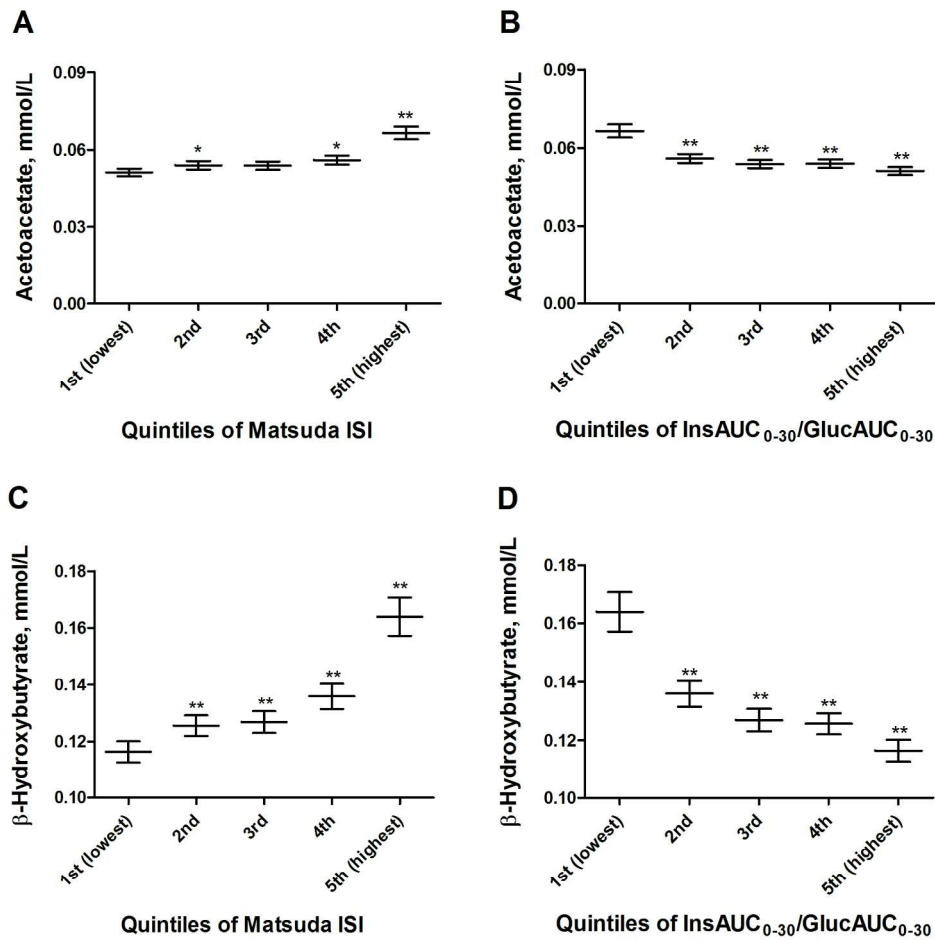


Figure 2. Mean values and their 95% confidence intervals of fasting levels of acetoacetate and  $\beta$ -hydroxybutyrate across the quintiles of Matsuda ISI (A, C), and Matsuda ISI adjusted InsAUC<sub>0-30</sub>/GlucAUC<sub>0-30</sub> (B, D) in non-diabetic subjects. P values (from ANOVA post-hoc tests) indicate statistical significance with respect to the reference category (1st lowest quintiles) \*P<0.05, \*\*P<0.01. Quintiles of Matsuda ISI (A, C): 1st (lowest) ( $\leq 3.130$ ), 2nd (3.131-4.857), 3rd (4.858-6.808), 4th (6.809-9.621), 5th (highest) ( $\geq 9.622$ ). Quintiles of Matsuda ISI adjusted InsAUC<sub>0-30</sub>/GlucAUC<sub>0-30</sub> (B, D): 1st (lowest) ( $\leq 22.439$ ), 2nd (22.440-30.243), 3rd (30.244-35.655), 4th (35.656-40.445), 5th (highest) ( $\geq 40.446$ ).  
183x181mm (300 x 300 DPI)

**Supplementary Table 1** Characteristics of the participants included in the METSIM study

Variable	Baseline	Follow-up
Number of subjects	9398	4335
Normal Glucose Tolerance (NGT)	3034	1446
Impaired Fasting Glucose (IFG)	4344	2031
Impaired Glucose Tolerance (IGT)	312	148
IFG+IGT	1059	434
New type 2 diabetes	649	276
Age, years	57.3±7.1	63.1±7.0
BMI, kg/m <sup>2</sup>	27.0±4.0	26.7±3.7
Smoking, %	58.6	55.4
Physically inactive, %	35.6	30.5
Acetoacetate, mmol/L	0.06±0.04	NA
β-hydroxybutyrate, mmol/L	0.14±0.11	NA
Matsuda ISI	6.7±4.2	6.5±4.3
InsAUC <sub>0-30</sub> /GlucAUC <sub>0-30</sub>	30.7±21.3	33.6±22.8

Mean values ± SD or percentages. NA=not available.

**Supplementary Table 2** Association of baseline levels of acetoacetate and  $\beta$ -hydroxybutyrate as predictors of glucose AUC and with incident type 2 diabetes at 5-year follow-up. Statistical analyses were performed with glucose AUC as a continuous variable and as the highest quartile (Q4) vs. the three lowest quartiles (Q1-Q3) combined and type 2 diabetes as a categorical variable (yes/no)

<b>Glucose AUC at follow-up as a continuous variable</b>	B	SE	<i>P</i> *	B	SE	<i>P</i> †	B	SE	<i>P</i> §
Acetoacetate, <b>mmol/L</b>	39.5	10.1	<b>2.3x10<sup>-4</sup></b>	46.1	9.0	<b>9.9x10<sup>-7</sup></b>	35.3	10.1	<b>9.3x10<sup>-4</sup></b>
$\beta$ -hydroxybutyrate, <b>mmol/L</b>	51.6	11.1	<b>5.7x10<sup>-6</sup></b>	59.9	9.9	<b>2.7x10<sup>-9</sup></b>	45.3	11.0	<b>6.2x10<sup>-5</sup></b>
<b>Glucose AUC at follow-up as a categorical variable (Q4 vs. Q1-Q3)</b>	OR	95% CI	<i>P</i> *	OR	95% CI	<i>P</i> †	OR	95% CI	<i>P</i> §
Acetoacetate, <b>mmol/L</b>	1.56	1.33-1.84	<b>7.9x10<sup>-8</sup></b>	1.79	1.50-2.13	<b>9.1x10<sup>-11</sup></b>	1.52	1.29-1.79	<b>5.5x10<sup>-7</sup></b>
$\beta$ -hydroxybutyrate, <b>mmol/L</b>	1.46	1.25-1.72	<b>3.4x10<sup>-6</sup></b>	1.64	1.38-1.95	<b>2.1x10<sup>-8</sup></b>	1.42	1.21-1.67	<b>1.8x10<sup>-5</sup></b>
<b>No diabetes vs. Newly diagnosed type 2 diabetes</b>	OR	95% CI	<i>P</i> *	OR	95% CI	<i>P</i> †	OR	95% CI	<i>P</i> §
Acetoacetate, <b>mmol/L</b>	1.32	1.00-1.74	<b>0.047</b>	1.55	1.10-2.17	<b>0.012</b>	1.30	0.93-1.82	0.125
$\beta$ -hydroxybutyrate, <b>mmol/L</b>	1.03	0.77-1.36	0.864	1.35	0.96-1.89	0.085	1.18	0.84-1.64	0.345

*P*\*, adjustment for age, BMI, smoking and physical activity

*P*†, adjustment for age, BMI, smoking, physical activity and Matsuda ISI

*P*§, adjustment for age, BMI, smoking, physical activity and InsAUC<sub>0-30</sub>/GlucAUC<sub>0-30</sub>