

1 **Melatonin increases brown adipose tissue volume and activity in melatonin deficient**  
2 **patients: a proof-of-concept study**

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4 Bruno Halpern(1), Marcio C. Mancini (1), Clarissa Bueno (2), Isabella P. Barcelos(2), Maria Edna  
5 de Melo (1), Marcos S. Lima (3), Camila G. Carneiro (3), Marcelo T. Sapienza (3), Carlos Alberto  
6 Buchpiguel (3), Fernanda Gaspar do Amaral (4), José Cipolla-Neto (5)

7

8 1 – Department of Endocrinology and Metabolism, Hospital das Clinicas da Faculdade de  
9 Medicina de São Paulo, Brazil 2 - Department of Pediatric Neurology, Hospital das Clinicas da  
10 Faculdade de Medicina de São Paulo, Brazil 3 - Nuclear Medicine Institute, Hospital das Clinicas  
11 da Faculdade de Medicina de São Paulo, Brazil 4 - Department of Physiology, Federal  
12 University of São Paulo, São Paulo, Brazil 5 – Department of Physiology and Biophysics,  
13 Institute of Biomedical Sciences, Universidade de São Paulo, Brazil

14 Corresponding author: Bruno Halpern Department of Endocrinology and Metabolism, Hospital  
15 das Clinicas da Faculdade de Medicina de São Paulo, Brazil Email:brunohalpern@hotmail.com  
16 Address: Rua Alves Guimaraes 462 cj 72, CEP 05410000 São Paulo-SP Brazil Phone (55)  
17 1130630063/(55)11996424053

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23

24 **Abstract**

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26

27 Melatonin, a pineal hormone synthesized at night, is critical for the synchronization of  
28 circadian and seasonal rhythms, being a key regulator of energy metabolism in many animal  
29 species. Although studies in humans are lacking, several reports, mainly on hibernating  
30 animals, demonstrate that melatonin supplementation and short photoperiod increase brown  
31 adipose tissue (BAT) mass. The present proof-of-concept study is the first, to our knowledge,  
32 to evaluate BAT in melatonin non-proficient patients (radiotherapy or surgical removal of  
33 pineal gland) before and after daily melatonin (3 mg) replacement for three months. All four  
34 studied patients presented increased BAT volume and activity measured by PET-MRI. We also  
35 found an improvement in total cholesterol and triglycerides blood levels, without significant  
36 effects on body weight, liver fat, HDL and LDL levels. Albeit not statistically significant, fasting  
37 insulin levels and HOMA-IR decreased in all four patients. In conclusion, the present results  
38 show that oral melatonin replacement increases BAT volume and activity and improves blood  
39 lipids levels in melatonin non-proficient patients, being suggested as a possible BAT activator.  
40 Future studies are warranted as hypomelatoninemia is usually present in aging and appears as  
41 a result of light-at-night exposure and/or the use of beta blocker drugs.

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**50 Introduction**

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52 Melatonin, a pineal hormone synthesized and released at night with critical role in the  
53 synchronization of circadian and seasonal rhythms, has been studied as a key regulator of  
54 energy metabolism in many animal species for a long time (1, 2). Pinealectomized rats show  
55 increased body weight gain and metabolic disturbances that are prevented by daily melatonin  
56 supplementation at night, without decreasing energy intake (1-5). That suggests that  
57 melatonin regulates energy metabolism also by its action on energy expenditure, possibly  
58 related to the activation of brown adipose tissue (BAT). Indeed, melatonin has been shown to  
59 increase BAT recruitment, measured as increased BAT mass, in experimental models such as  
60 hibernating animals (3). BAT has long been recognized as a thermogenic tissue in mammals,  
61 but its significance in humans was considered to be minor and limited to newborns. Recently,  
62 positron emission tomography with 2-deoxy-2-[fluorine-18] fluoro-D-glucose (FDG-PET)  
63 showed that human adults present active BAT, especially after cold exposure (6,7). This finding  
64 quickly led to an exponential increase in BAT research, since its activation leads to increased  
65 energy expenditure that could, at least theoretically, be a possible tool for the treatment of  
66 obesity and type 2 diabetes (8). Many compounds, including melatonin, have been studied in  
67 order to determine their ability of BAT recruitment and activation, although none of these  
68 studies have been carried out in humans (3,9). Melatonin has been used in the treatment of  
69 several pathologies including sleep disturbances, neurodegenerative diseases, cardiovascular  
70 diseases and cancer (2). As far as human endocrine and metabolic diseases are concerned, the  
71 importance of regular melatonin daily secretion determining adequate energy balance and  
72 glucose metabolism is seen in several papers showing that there is a negative correlation  
73 between the levels of nocturnal melatonin secretion and body mass index, insulin resistance,  
74 and type 2 diabetes incidence (10, 11). In addition, a few papers demonstrated that chronic

75 therapeutic daily melatonin administration can induce a reduction of fat mass and an increase  
76 of lean mass, besides being able to counteract the antipsychotic drugs-induced metabolic  
77 adverse effects, including overweight (12-14). However, the exact mechanism by which  
78 melatonin exerts its metabolic effects in humans is not known.

79 In the present study, we ought to determine if melatonin therapy for pinealectomized  
80 melatonin non-proficient patients could increase BAT activation evaluated by FDG-PET after  
81 cold exposure, as a proof of concept of a potential influence of melatonin in BAT in humans.  
82 Since pineal tumors are very rare, four patients could be selected. Metabolic parameters were  
83 also measured, since a recent meta-analysis suggested a role of melatonin in improving total  
84 cholesterol and triglyceride levels (15). Given that high exposure to light-at-night (LAN) is  
85 associated with reduction or even suppression of melatonin levels, commonly leading to  
86 functional melatonin deficient individuals, an investigation in this particular population would  
87 also be relevant (16). However, the evaluation of melatonin replacement in pinealectomized  
88 patients is very important, given that, despite of being widely accepted in classical  
89 endocrinology that absolute hormone deficiency should be treated with hormonal  
90 replacement, data about melatonin replacement in pinealectomized patients are very scarce.

91

## 92 **Methods**

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## 94 **Ethical Statement**

95 This protocol has been approved by the Hospital das Clínicas da Faculdade de Medicina da  
96 Universidade de São Paulo Ethical Committee and is registered in the Brazilian Unified  
97 Research Platform (Plataforma Brasil) under the number 30460114.5.0000.0068. All patients  
98 have agreed and signed an informed consent approved by the Ethical Committee.

99

**100 Recruitment and selection of patients**

101 We searched for patients submitted to surgical removal of the pineal gland or to radiotherapy  
102 in the pineal area due to pineal tumors at Hospital das Clínicas, University of São Paulo, a  
103 reference center for complex and rare diseases in Brazil. We were able to find records of 18  
104 patients in the last twelve years. Five patients could not be contacted due to lack of updated  
105 address and phone number, three patients had passed away, four patients refused to  
106 participate, one did not comply with the protocol and one was excluded due to sympathetic  
107 lesion caused by an infiltrating tumor. The remaining four patients were studied and all of  
108 them were male. The characteristics of the patients are described in the supplementary  
109 appendix. We had some concern regarding patient 3 due to the presence of  
110 panhypopituitarism that could bias the analysis, since it is well known that cortisol levels  
111 present a circadian rhythm that could influence BAT (17). Despite this, we did not exclude the  
112 patient due to the low number of subjects. Before melatonin treatment, all subjects had  
113 salivary melatonin measured every three hours on a 27-hour schedule (beginning at 7PM until  
114 10PM in the next day) and absence or very low levels of melatonin were confirmed by ELISA  
115 (IBL International, Hamburg, Germany). Salivary melatonin was also collected just before the  
116 end of melatonin treatment.

117

**118 Melatonin replacement**

119 Patients received 108 tablets of melatonin 3 mg (Aché Pharmaceuticals, Brazil) and were advised  
120 to take one tablet 30 minutes before sleep every day for three months. Blisters were brought  
121 back to evaluate adherence.

122

**123 FDG-PET/MRI**

124 After an overnight fasting, the patients were admitted at the Nuclear Medicine Institute.  
125 Patients were exposed to a cold air-conditioned room (18°C) wearing light clothes and also a  
126 cold-vest (Polar, USA) for two hours. After one hour of cold exposure, the FDG radioisotope  
127 was injected and PET-MRI was performed one hour later, in accordance to protocols used in  
128 other studies in the field (18,19).

129 BAT volume (ml) and activity (volume x mean Standard Uptake Value [SUV]) with a SUV  
130 threshold of 1.5 and 2.0 were assessed by PET-MRI and liver fat was analyzed by MRI. The  
131 software AMIDE (Amide Medical Imaging Data Examiner,  
132 <http://amide.sourceforge.net/packages.html>) was employed to analyze BAT volume and SUV  
133 by a nuclear medicine specialist. Adipose tissue was automatically segmented by “FAT MRAC”  
134 or “lavaflex sequence” using a signal over 1.5 and 2.0 as the threshold for detection of adipose  
135 tissue. The activity of the renal pelvis was then subtracted, after manually defining the area of  
136 interest, in order to exclude urinary activity in regions of possibly erroneously identified  
137 adipose tissue. Volumes and activities inside the adipose tissue segment were then calculated.  
138 The above procedure was done before and after melatonin replacement.

139

**140 Blood tests analysis**

141 We collected blood samples immediately before PET-MRI protocol, both before and after  
142 melatonin replacement. Total cholesterol, HDL-c, LDL-c, fasting triglyceride, fasting insulin and  
143 fasting glucose levels were determined and analyzed in the same laboratory (Central  
144 Laboratory, Hospital das Clínicas University of São Paulo). A difference in any of them was then  
145 considered a secondary endpoint.

146

147 **Statistical analysis**

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149 Data were analyzed by paired t-test with one-tailed distribution, since an increase in BAT was  
150 expected, as well as a decrease in triglyceride and total cholesterol levels. For small sample  
151 sizes, normality tests have little power to reject the null hypothesis and, therefore, small  
152 samples most often pass normality tests.

153

154 **Endpoints**

155 The primary endpoints were an increase in BAT volume and/or activity by PET-MRI. The  
156 secondary endpoints were differences in the blood levels of any of the metabolites studied.

157

158 **Results**

159

160 All four patients completed the protocol, with an adherence of more than 90%. The study  
161 protocol also analyzed sleep patterns, quality of life and dysautonomia tests, but those data  
162 will not be presented here since there are several endpoints still to be measured with a longer  
163 duration of the treatment.

164 The individual patterns of BAT volume and activity were shown, respectively, in Figures 1a and  
165 1b considering a SUV threshold of 2.0. Both BAT volume and activity statistically increased in  
166 all patients after melatonin replacement (p value=0.0179 and 0.0139, respectively). BAT  
167 volume and activity considering a SUV threshold of 1.5 are presented in the supplementary  
168 appendix, and have a very similar pattern of increase (p value=0.0199 and 0.0159,  
169 respectively).

170 Patient 1 had a notably clear pattern of response (Figure 2) with volume and activity increase  
171 of 2.7 and 2.39 times, respectively, after melatonin replacement. Nevertheless, the results  
172 could be potentially affected by external factors, such as outdoor temperature and  
173 photoperiod, but a correlation between outdoor temperature and BAT volume and/or activity  
174 was not found (data on supplementary appendix).

175 Despite the positive responses observed in BAT, the patients' body weight did not change  
176 (table 2) after melatonin replacement therapy. Although significant differences in weight in  
177 such a small sample were not expected, three patients presented a small weight gain. Maybe a  
178 larger sample and/or a longer supplementation could lead to a different result on body weight.

179 Albeit analyzing such a small sample, melatonin replacement caused a significant decrease in  
180 total cholesterol ( $p=0.0204$ ) and triglyceride levels ( $p=0.0104$ ) (Table 1). In regard to glycemic  
181 parameters, no differences were observed; however, fasting insulin and HOMA-IR decreased in  
182 all subjects after melatonin replacement (table 2). Hepatic fat was also evaluated by MRI and  
183 no difference was found (data on supplementary appendix).

184

## 185 **Discussion**

186

187 The present study shows a significant increase in BAT volume and activity, measured by PET--  
188 MRI, in four melatonin-deficient pinealectomized patients after melatonin replacement  
189 therapy. Despite the very small sample size, the increase was observed in all patients,  
190 suggesting that melatonin may be a potential BAT recruiter that should be taken into  
191 consideration in further studies in humans, at least in situations in which a reduction in  
192 melatonin production occurs. Many experimental studies associated melatonin treatment with  
193 an increase in BAT mass, as well as melatonin deficiency with a decrease in BAT mass, but



194 these data were restricted to animal studies, mainly hibernating animals (3), and were  
195 obtained prior to the identification of active BAT in human adults (6-8). Nowadays, BAT is  
196 being studied in view of its possible relation with obesity, type 2 diabetes and other metabolic  
197 diseases, and potential BAT activators and recruiters have been proposed (20) to adjuvants for  
198 the treatment of these diseases. Some authors hypothesized that an increase in BAT would not  
199 only lead to increased energy expenditure after cold exposure, but could, as well, be related to  
200 diet-induced thermogenesis (DIT) (21,22).

201 The present observed increase in volume suggests that melatonin replacement was able to  
202 recruit BAT, with a greater activation in response to the acute cold challenge. Melatonin  
203 replacement to pinealectomized animals decreases body weight gain with a very small  
204 decrease in food intake, being the increased energy expenditure (EE) the most plausible  
205 mechanism for the final body weight reduction (5). Taking these experimental data into  
206 account and the present results, it is possible to speculate that the same may be true in  
207 humans (1), even though EE was not addressed.

208 As several clinical and social conditions that present hypomelatoninemia, as LAN, diabetes,  
209 neurological disorders, etc (2) are associated with increased body fat, a reduction in BAT due  
210 to the hypomelatoninemic condition could be a possible explanation for this correlation (23),  
211 although we do not have any direct evidence of that. Importantly, we do not think that  
212 melatonin could be an independent antiobesity drug, but rather, a deficiency in melatonin  
213 could lead to weight gain in the long-term (5) and its replacement or supplementation could  
214 prevent weight gain in some obesogenic situations, such as reduced BAT activity after the use  
215 of antipsychotic drugs (13,14).

216 It is interesting to note that one patient (patient 2) had a high BAT activity even before  
217 melatonin replacement, suggesting that BAT recruitment and/or activation can occur in the  
218 absence of melatonin; however, the further increase in activity after replacement in this

219 patient suggests an important role played by melatonin even when BAT is already reasonably  
220 recruited.

221 The observed reduction in triglyceride and total cholesterol levels is in agreement with the  
222 conclusion of a recent meta-analysis (15). However, none of the studies included in the meta-  
223 analysis evaluated melatonin non-proficient patients and the present results with such a small  
224 sample suggest that the clinical benefit to lipid levels would be much higher in melatonin-  
225 deficient subjects. Such suggested positive correlation between the therapeutic effect of  
226 melatonin and the previous endogenous amount of melatonin production was already  
227 demonstrated in sleep and daily activity/rest rhythms clinical studies (24). Notably, two out of  
228 our four patients had an increase in HDL-cholesterol around 10 mg/dL, with clinical  
229 significance. Differently from other studies, liver fat evaluated by MRI was not affected by  
230 melatonin supplementation (25,26). Although no difference was observed in the glycemic  
231 parameters (which is somehow expected due to the small number of patients), fasting insulin  
232 and HOMA-IR reduced in all four patients after melatonin replacement (despite the weight  
233 gain in three of them), with a clinical significant reduction in patients 1 and 4 (table 1). In our  
234 opinion, it is possible to speculate that a reduction in insulin resistance could likely be  
235 observed in a larger sample and can possibly be related to an increase in BAT activity and  
236 recruitment after melatonin treatment, as BAT activation is known to improve whole body  
237 glucose homeostasis in humans (18).

238 This small pilot study is innovative for several reasons. It is the first study to objectively analyze  
239 BAT activity after melatonin treatment in humans, despite a huge amount of data in animals.  
240 Although the clinical significance of this finding cannot be clearly defined (since EE was not  
241 addressed, the sample is small and the duration is shorter than required to evaluate body  
242 weight or glycemic responses), melatonin and its agonists could be considered potential BAT  
243 recruiting agents for future research. Moreover, we studied pinealectomized patients with

244 melatonin deficiency, individuals that have received very little attention in the previous  
245 literature. There is virtually no study (with the exception of a few individual case reports) that  
246 evaluated melatonin replacement in these patients (27). Since melatonin is a known  
247 chronobiotic in humans (2), we strongly expect that its replacement therapy could improve  
248 sleep and quality of life of our present patients. Many decades of research in pinealectomized  
249 animals have shown important metabolic derangements, such as weight gain, insulin  
250 resistance and decreased thermoregulatory responses, as well as remarkable effects of  
251 melatonin replacement in reversing these alterations (1,2). However, no data is available in  
252 humans, neither evaluating baseline metabolic characteristics of pinealectomized patients or  
253 the effects of melatonin replacement therapy. Besides that, we decided to study  
254 pinealectomized patients to mirror the evidences obtained in animal models, in which the  
255 metabolic effect of melatonin replacement is clearly evident. The positive results of the  
256 present small study warrant a placebo-controlled study, evaluating sleep, cardiovascular and  
257 energy metabolism in a larger sample of melatonin-deficient patients, potentially indicating to  
258 a systematic melatonin-replacement treatment of those patients in the future. In addition,  
259 once the putative therapeutic effects of melatonin are shown in this melatonin-deficient  
260 population, future studies should also look at several clinical and social situations leading to  
261 the so-called hypomelatoninemia condition (2), such as shift-work, excessive LAN exposure,  
262 beta-blocker use, neurologic disorders and aging (28-30). All these conditions are associated  
263 with weight gain and metabolic diseases, and it remains to be determined if melatonin  
264 supplementation does have an impact in body weight and metabolic parameters also in these  
265 patients. We decided not studying control subjects since they are regular producers of  
266 melatonin and, in addition, the use of melatonin for 3 months in healthy individuals could have  
267 low adherence, due to putative side effects such as headache, drowsiness or daytime  
268 somnolence (31).

269

270 **Conclusion**

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272 In this small sample of pinealectomized patients with confirmed very low melatonin levels,  
273 replacement with 3 mg of melatonin increased BAT volume and activity analyzed by PET-MRI,  
274 as well as reduced total cholesterol and triglyceride levels. Melatonin could be considered a  
275 possible BAT recruitment agent in humans, with potential for future therapeutic use. Further  
276 research on melatonin supplementation in a larger sample of pinealectomized patients, and  
277 other clinical situations associated with melatonin reduction is warranted, since the paucity of  
278 data in the literature is evident.

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280

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285 **Author contributions:**

286 BH, MCM and JCN designed the experiments. BH conducted all steps of research. BH, MCM  
287 and JCN analyzed data. BH wrote the manuscript. MCM and JCN reviewed the manuscript and  
288 gave opinions and ideas. CB and IPB recruited the patients and helped with the collection of  
289 melatonin salivary samples. MSL, CGC, MTS and CAB were responsible for the PET-MRIs  
290 procedures and analysis. FGA analyzed salivary melatonin samples and gave important opinion  
291 and ideas about the procedures. MEM, BH and MCM performed statistical analysis of all data.  
292 JCN is responsible for the Thematic Project in which this trial belongs and received funding.

293

294 Dr. Jose Cipolla Neto is the guarantor of this work and, as such, had full access to all the data in  
295 the study and takes responsibility for the integrity of the data and the accuracy of the data  
296 analysis.

297 The authors have declared no conflict of interest.

298

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425 **Table 1 – Metabolic parameters of the patients, before and after melatonin supplementation**

426 \*Statistically significant

	HDL-c (mg/dL)		LDL-c (mg/dL)		Total cholesterol (mg/dL)		Triglyceride (mg/dL)		Fasting glucose (mg/dL)		Fasting insulin		HOMA-IR		Body weight (kg)	
	Before	After	Before	After	Before	After	Before	After	Before	After	Before	After	Before	After	Before	After
Melatonin replacement																
Patient 1	28	42	69	54	120	109	153	54	91	54	16.1	4.0	3.6	0.5	54.2	51.2
Patient 2	32	45	113	92	162	155	94	90	78	77	13.4	10.6	2.6	2.0	55.7	56.7
Patient 3	29	26	91	108	223	193	552	479	76	81	22.2	19.8	4.2	4.0	76.0	79.2
Patient 4	37	38	111	72	177	143	338	249	88	98	24.4	8.6	5.2	2.1	98.5	99.0
mean	31,5	37,5	96	81,5	170	150	284	218	83,25	77,5	19.0	10.7 5	3.85	2.15	71.1	71.5
p value (paired t test, one tailed)	0.11		0.15		<b>0.0279*</b>		<b>0.0268*</b>		0.31		0.05		0.058		0.76	

427

428

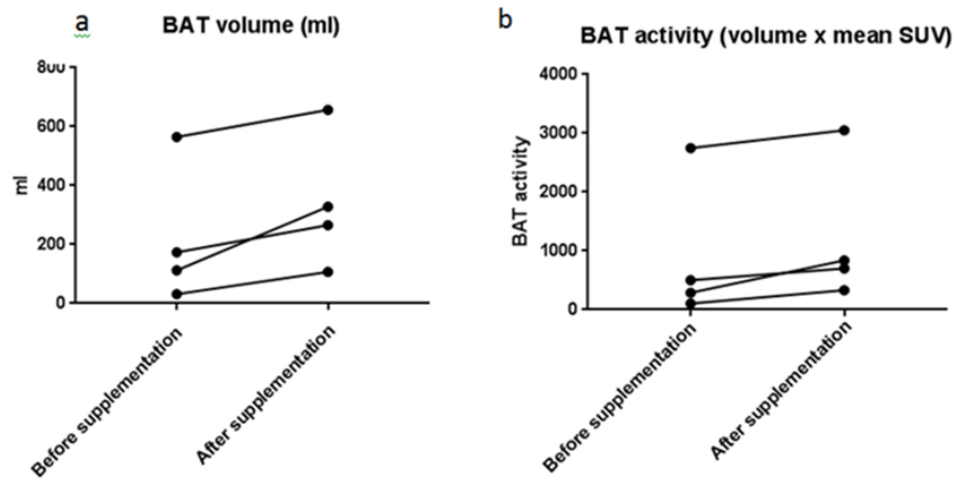


Figure 1 - BAT volume (a) and activity (b), measured by PET-MRI after cold exposure, before and after melatonin supplementation (PET-MRI). Student t-test  
a)  $p = 0.0179$  b)  $p = 0.0139$

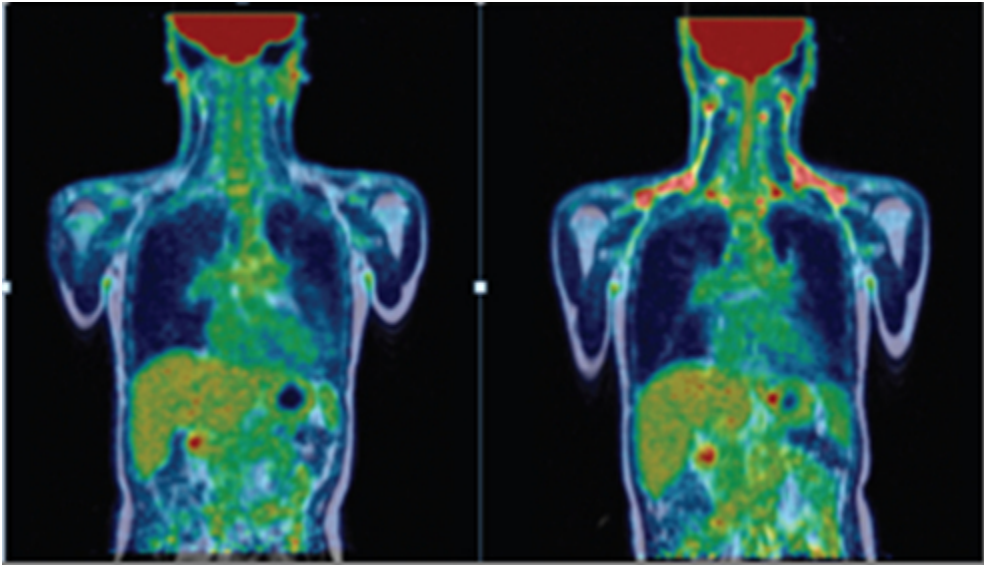


Figure 2 PET-MRI after cold exposure of patient 1, before and after melatonin supplementation. Note the increase in FDG uptake supraclavicular and cervical areas

## Supplemental appendix

Individual description of the four patients:

Patient 1 - A 16-year-old man diagnosed with a pineal lesion in 2010 whose stereotaxic biopsy revealed a dysgerminoma. Chemotherapy and radiotherapy were performed with good response and no medication was in use recently. His BMI was 19.3 kg/m<sup>2</sup> and the highest salivary melatonin level was 1 pmol/L at 22:00.

Patient 2 – A 19-year-old man diagnosed with a pineal tumor in 2013 was submitted to two surgical procedures and two cycles of chemotherapy and radiotherapy and to four autologous bone marrow transplantations. The pathological examination revealed a pyneoblastoma grade IV. He did not use any medication and his BMI was 22.03 kg/m<sup>2</sup>. The salivary melatonin levels were below the limit of detection.

Patient 3 – A 20-year-old man diagnosed with a pineal lesion after a ventriculoperitoneal shunt procedure due to hydrocephalus had 25 radiotherapy and nine chemotherapy sessions performed resulting in panhypopituitarism, diabetes insipidus and depression, taking hydrocortisone, phenytoin and desmopressin, testosterone cypionate injections, levothyroxine and amitriptylin. His BMI was 28.6 kg/m<sup>2</sup> and salivary melatonin levels were below the limit of detection.

Patient 4 – A 32-year-old man with a pineal tumor diagnosed in 2007 was submitted to a surgical procedure with pathological examination showing a germinoma and to one radiosurgery and 20 sessions of radiotherapy. The only medication used was levothyroxine due to primary hypothyroidism. The BMI was 30.4 kg/m<sup>2</sup> and the highest salivary melatonin level was 1.36 pmol/L at 1PM.

Table SA1 – Peak melatonin levels and time of each individual patient during an overnight stay in Hospital before and after melatonin replacement (BLD – below level of detection)

	Before intervention	After intervention
Patient 1	1 pg/mL (22:00)	22.83 pg/mL (22:00)
Patient 2	BLD	16.28 pg/mL (04:00)
Patient 3	BLD	7.48 pg/mL (01:00)
Patient 4	1.36 pmol/l (13:00)	Not performed

Figure SA1 – BAT volume (ml) before and after melatonin supplementation, considering a SUV threshold of 1.5 (p= 0.0159)

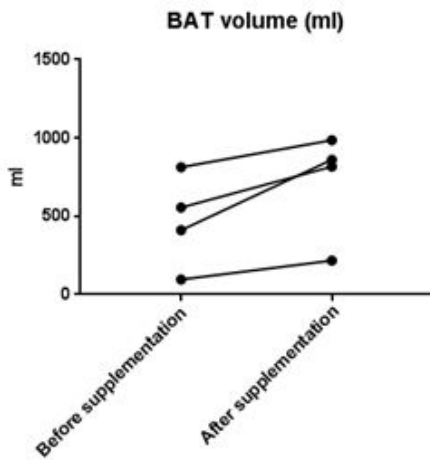


Figure SA2 – BAT activity (ml x mean SUV) before and after melatonin supplementation, considering a SUV threshold of 1.5 (p=0.0199)

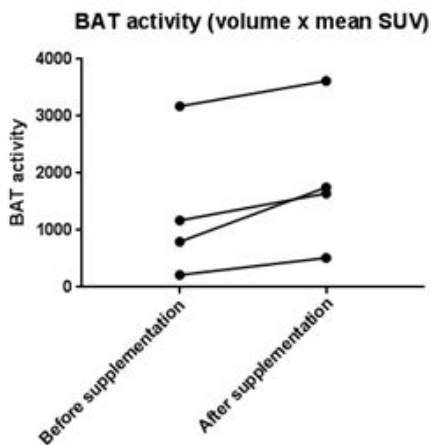


Table SA2 - Mean outdoor temperature in the day of each exam (source: timeanddate.com)

	Before melatonin supplementation	After melatonin supplementation
Patient 1	19.5 °C	12 °C
Patient 2	17 °C	18 °C

Patient 3	17.5 °C	26 °C
Patient 4	16.5 °C	24.5 °C

Figure SA3 – BAT volume by outdoor temperature –  $p=0.26$

